



# Energy resolving <sup>semiconductor</sup> detectors for X-ray spectroscopy

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the increasingly important topic of *wavelength dispersive spectroscopy* 'detectors' will not be discussed here

- what are the synchrotron requirements?
- *semiconductor* Energy Dispersive X-ray detectors:  
principle of operation, material limitations
- energy resolution and Fano statistics
- preamplifier and electronic noise
- signal pulse processing and the pile-up limit
- silicon drift diodes
- multielement arrays and the ‘crosstalk’ challenge
- summary

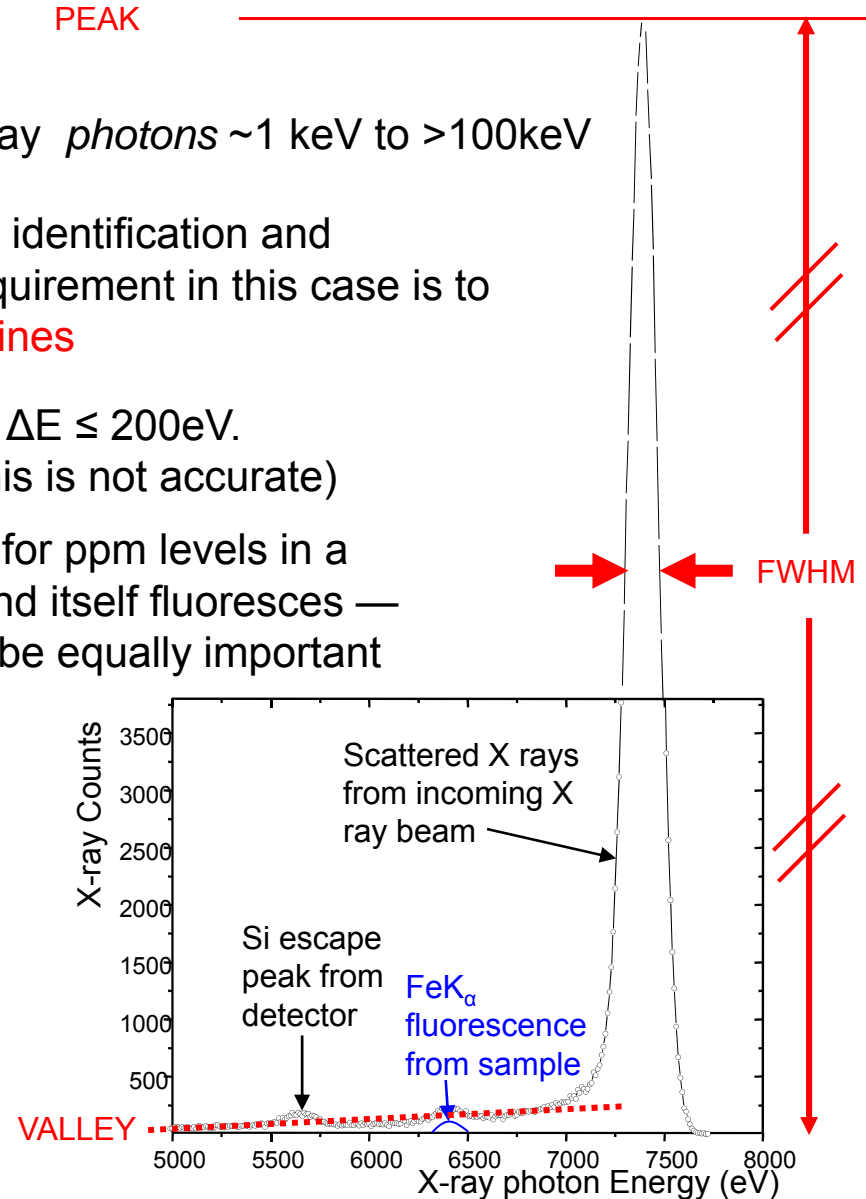
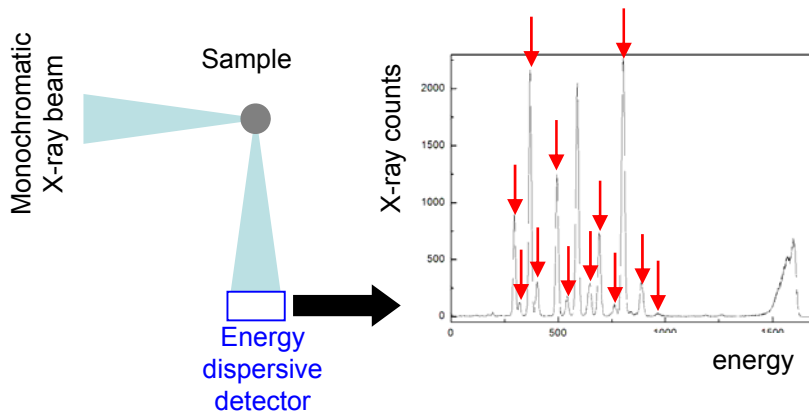
Energy range: '3<sup>rd</sup> Generation' Synchrotrons, X-ray photons ~1 keV to >100keV

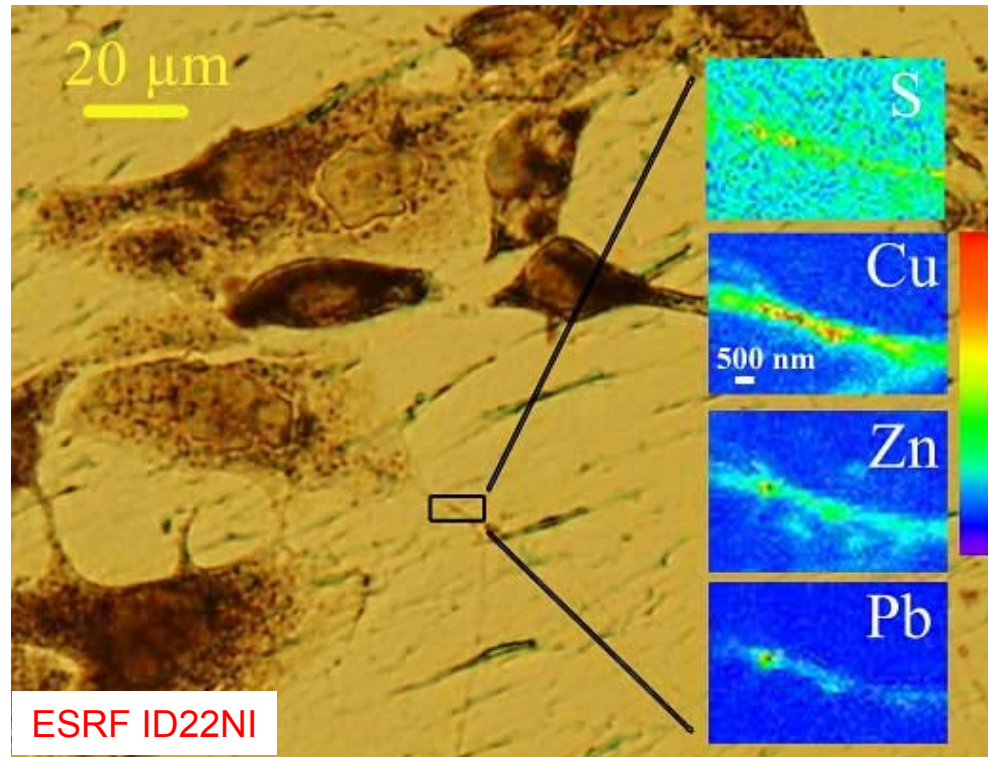
Energy resolution: many measurements concern identification and quantification of *multiple* elements in sample. Requirement in this case is to resolve-identify individual K, L, (M) fluorescence lines

'FWHM' is the usual figure of merit, typically need  $\Delta E \leq 200\text{eV}$ .

A Gaussian line shape is usually assumed (but this is not accurate)

For trace element analysis -- where we may look for ppm levels in a sample matrix that scatters the incoming beam and itself fluoresces — 'peak-to-valley' performance of the detector may be equally important





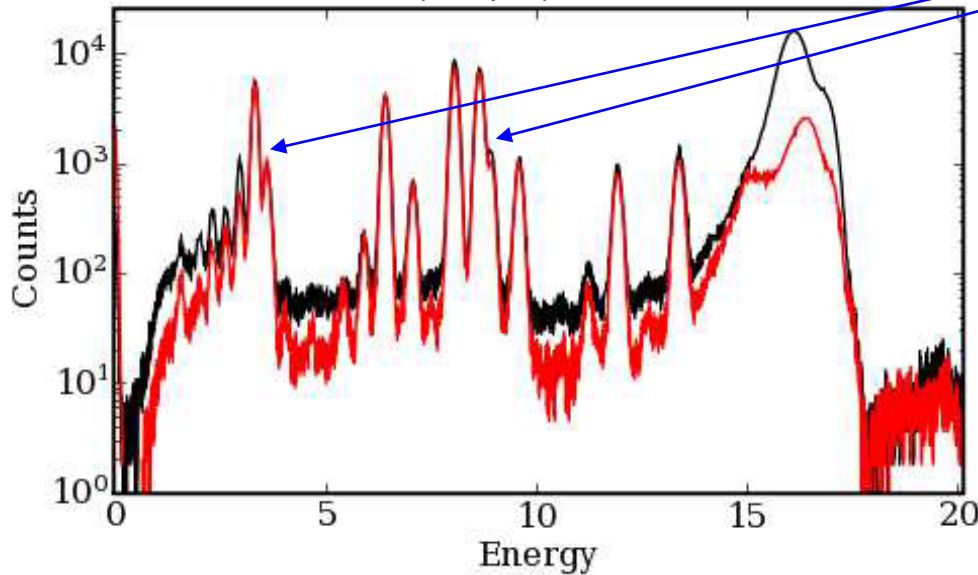
Neurite process  
A Carmona et al JAAS  
(2008)

For analysis of *chemical states* (e.g.  $\text{SO}_4^{n-}$ ... XANES studies), higher energy resolution may be required.

In this case, the incoming synchrotron beam energy crystal monochromator is energy scanned with  $\Delta E \sim 1\text{eV}$  to determine spectral response of sample *but an energy resolving detector is still required for dilute samples*

- For *quantitative element analysis*, Silicon and Germanium semiconductor detectors are used:
- fast photon event counting over all energies in spectrum
  - good efficiency possible (solid angle covered by detector)
  - adequate FWHM resolutions of known lineshape (needed for *spectrum deconvolution*)

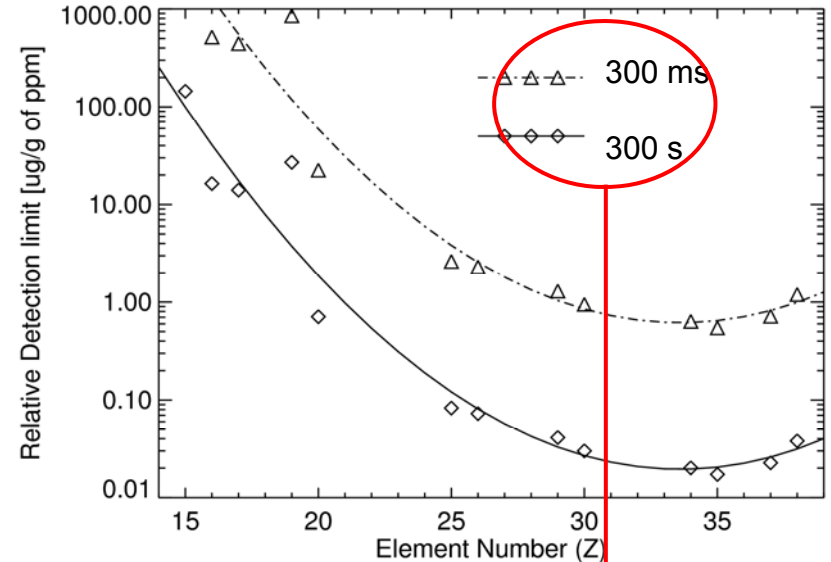
Bovine liver 'thick'(200µm) standard



beam normal incidence on sample,  
 Vortex silicon drift detector detector at 75°  
 beam 45 deg incidence, detector at 90°

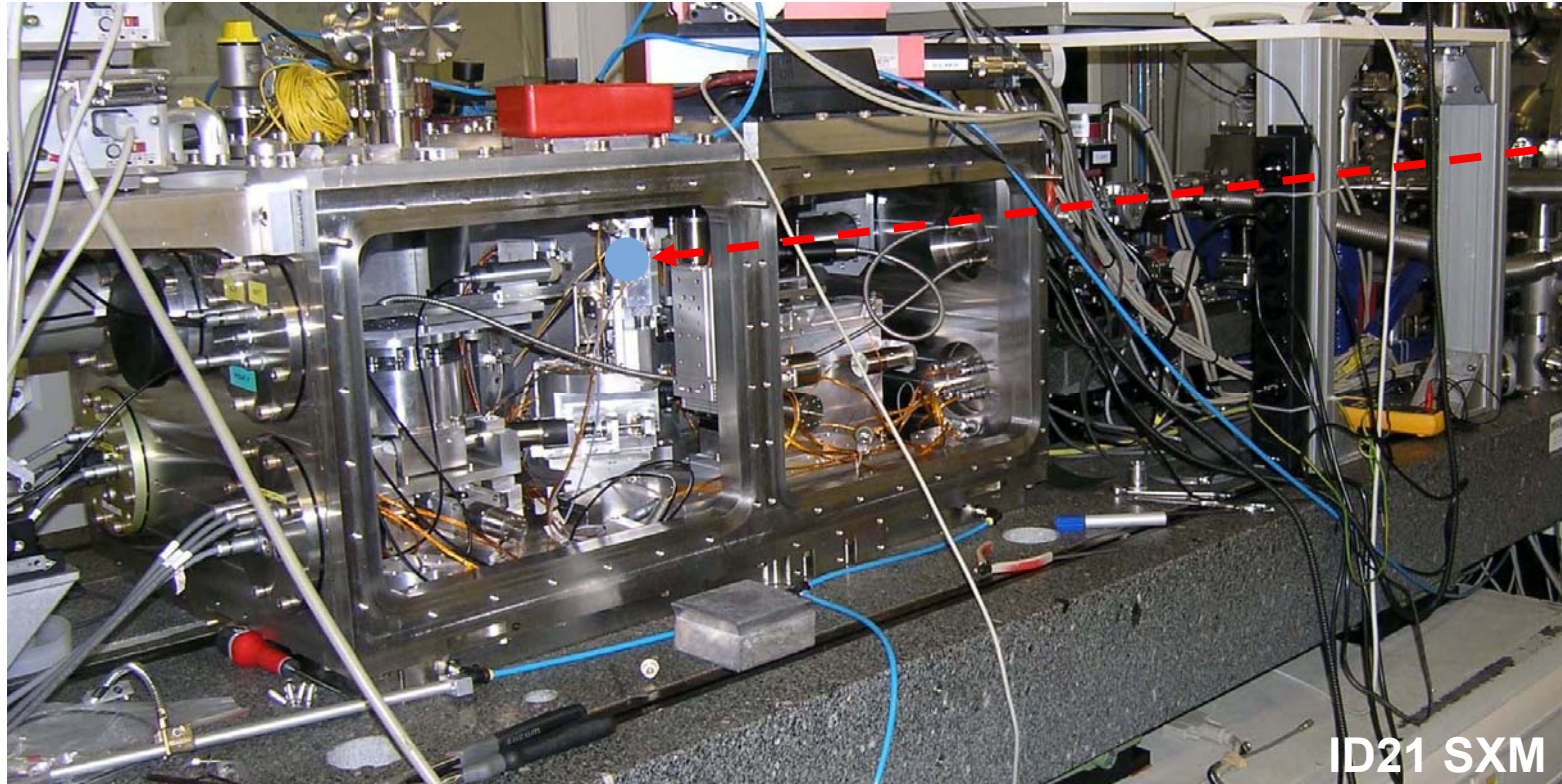
P Cloetens, ESRF-ID22N

SRM1577B–Bovine Liver



*detection limits are set by counting statistics*

Synchrotrons X-ray beams are *focused* onto sample

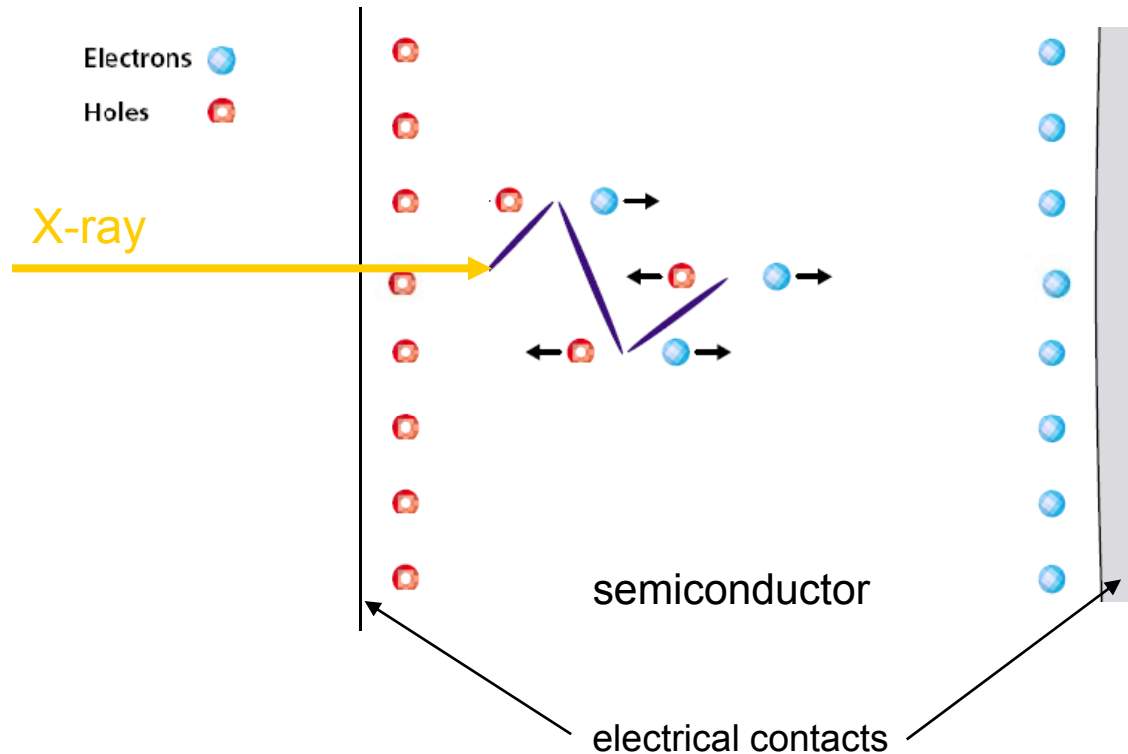


other practical challenges for optimum detector operation:

-vibrations – acoustics

-electrical interference from other equipment... *Electro- Magnetic Compatibility (EMC)*

Semiconductor material, e.g. crystal of Si or Ge, with thin X-ray transparent contacts.  
An applied electric field can deplete bulk of (thermally generated) free charges.



- X-ray interacts (photoelectric effect or Compton scatter), generates 'hot' electrons which rapidly thermalize (in  $\sim$ psec timescale),
- hole, electron charges drift in applied field towards electrodes ( $\sim$ nsec to  $\mu$ sec)
- **electrical signal develops while the charge drifts in the bulk...**

“The crystal counter: a new instrument in nuclear physics”,  
 P.J. Van Heerden, PhD Dissertation, Rijksuniversiteit Utrecht *July 1945*

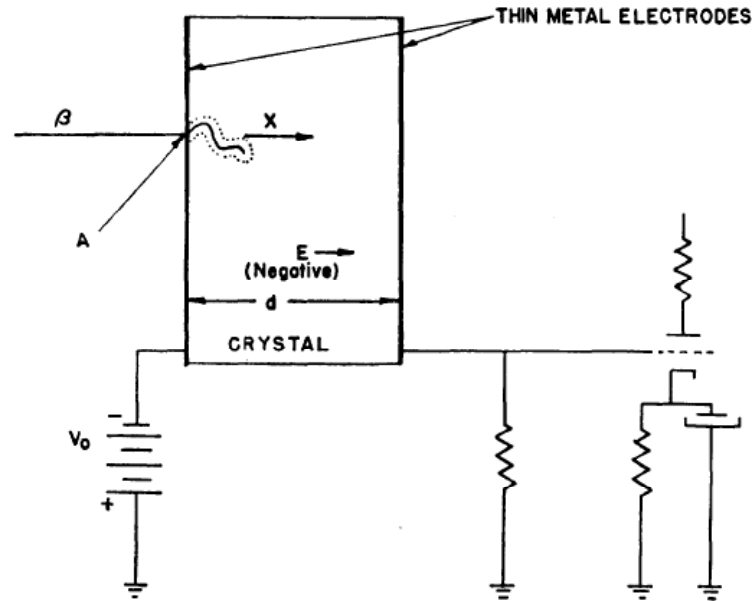
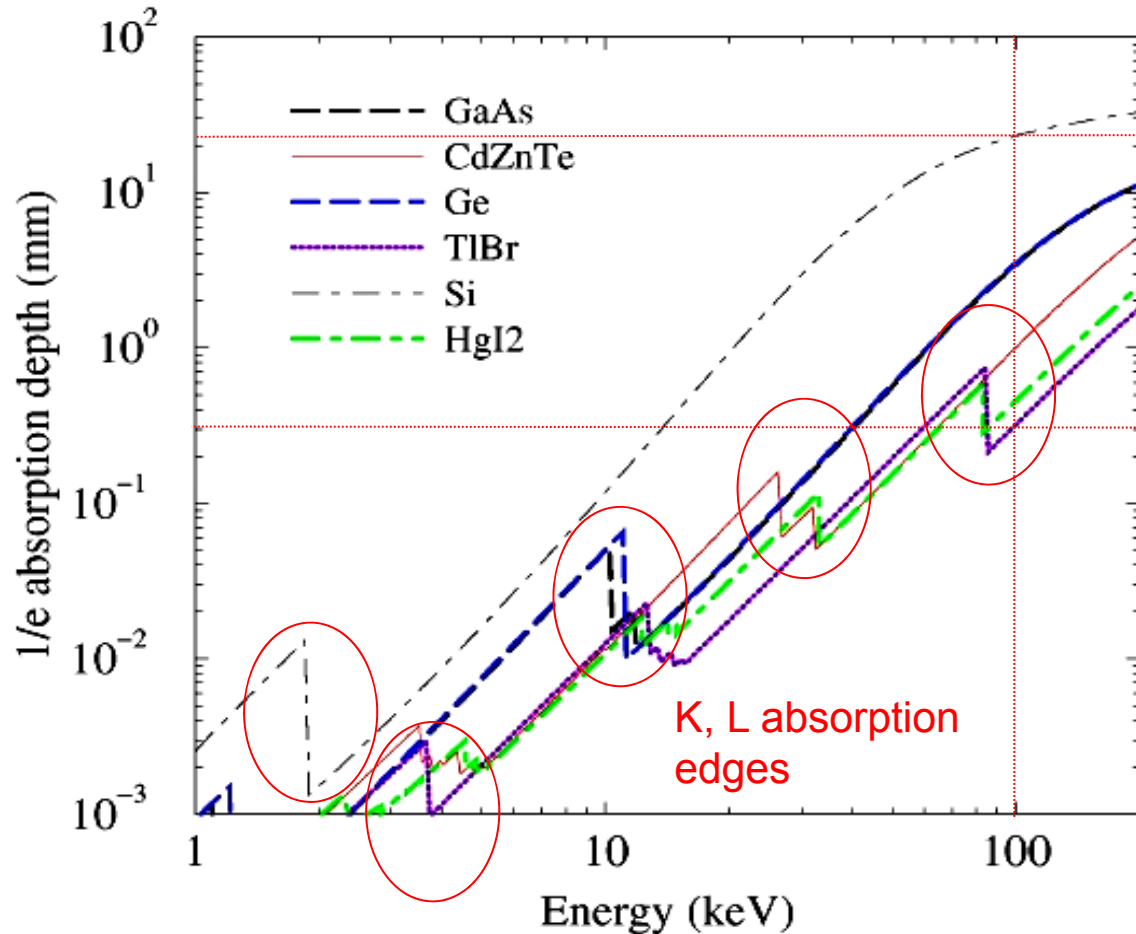


Fig. 1—A schematic diagram of a crystal conduction counter.

but *in practice needed development* of

- materials in which photoelectric charge is not ‘lost in transit’, i.e. by trapping at crystal structure defects or impurity sites (→ Ge(Li), Si(Li)... high purity Ge, Si crystals)
- development of (surface) electrical contact technologies (problems of time dependent ‘polarization’ effects; charge injection-leakage current...)





*Beer's law:*

$$I(x) = I_0 \exp(-\mu(E) \cdot x)$$

*intensity of a photon beam decreases with distance into material, but the energy of individual photons remains the same.*

At 'low' energies, photoelectric effect is dominant:

$$\mu(E) \sim E^{3...4}$$

*but  $\mu$  is discontinuous at 'absorption edges' corresponding to atomic shell structure binding energies*

40 $\mu$ m of Ge (or GaAs) has same total X-ray absorption as ~500 $\mu$ m Si

Useful detector energy range is set by photon absorption range in material (s)

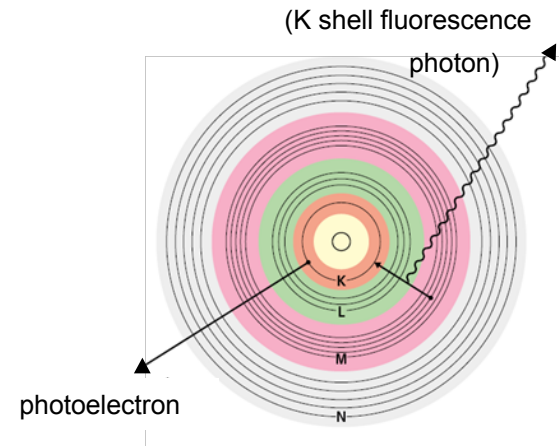
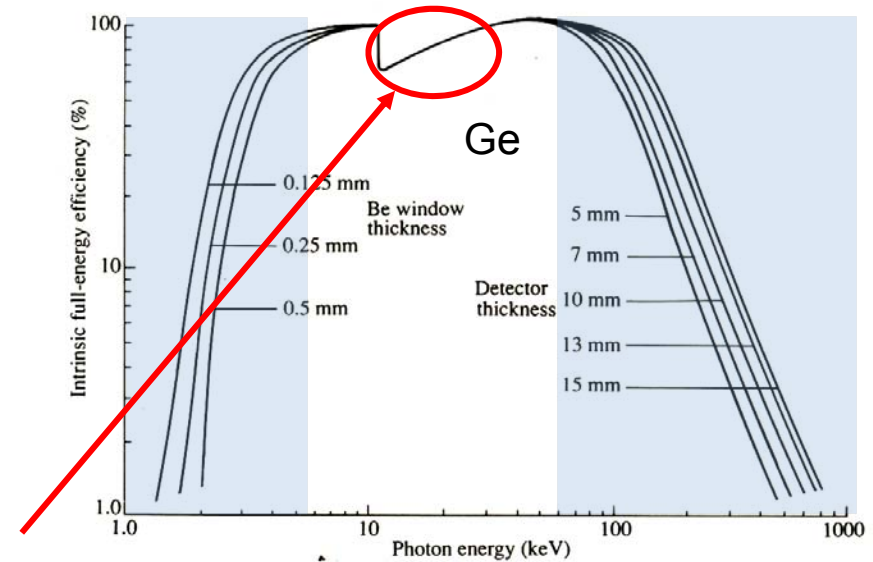
- 'window' transmission cut-off (need for a detector vacuum window)
- inefficient charge collection for absorption at front contact of the semiconductor crystal
- transmission loss at higher energies
- incomplete energy absorption (loss by *Compton Scattering*)

Abrupt absorption efficiency loss occurs at *binding energies* of electrons corresponding to shell levels. This is associated with probability of *fluorescence emission*

'*Escape*' peaks appear in detector energy spectrum at energies ( $E_{Xray} - E_{fluo}$ ), where  $E_{fluo}$  transition energy for electron falling from L, M... levels to inner K shell energy level

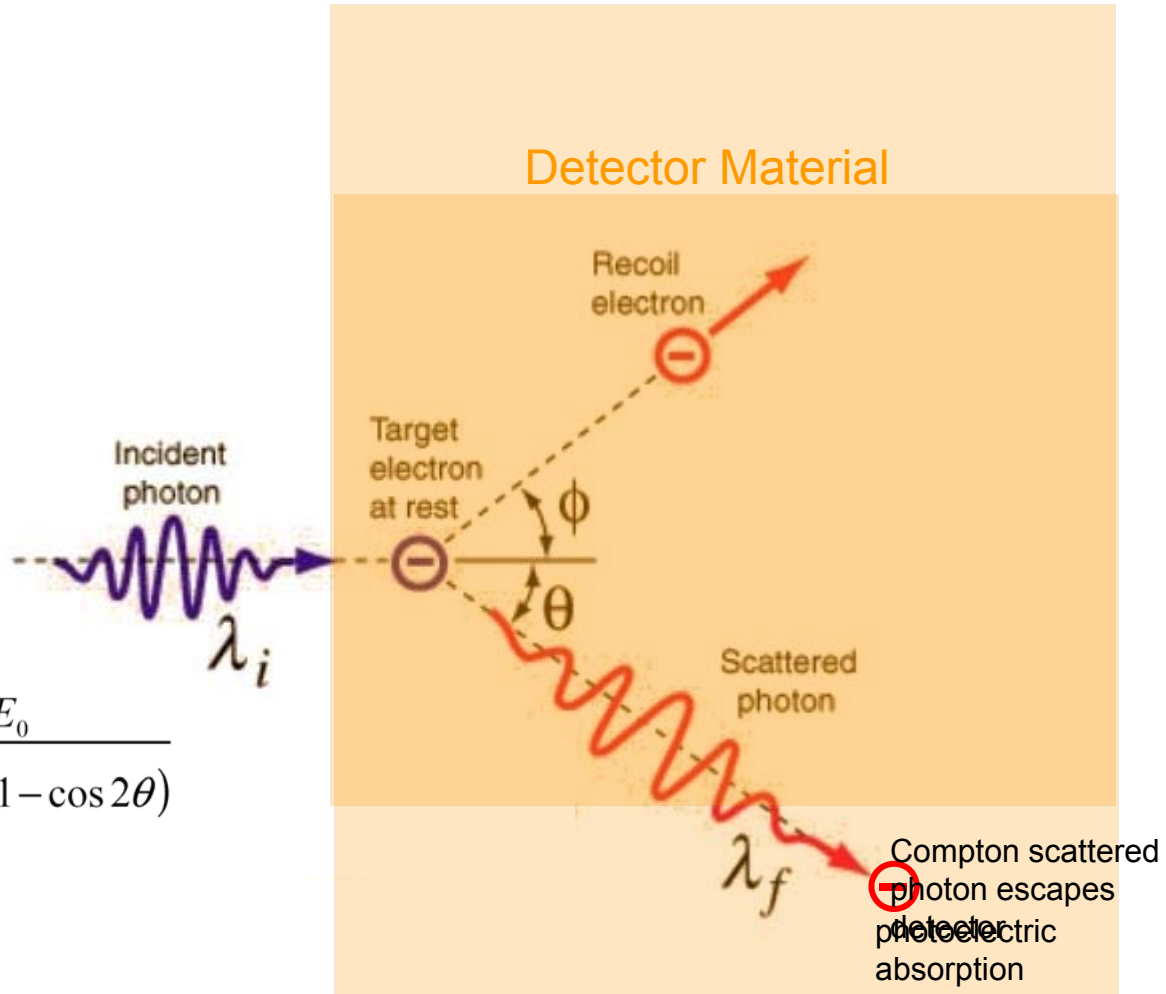
e.g. for Ge                       $E_{fluo} \approx 9.9 \text{ keV (K}\alpha), 1.2 \text{ (L}\alpha_1)$   
 for Si                               $\approx 1.74 \text{ (K}\alpha)$

*Escapes complicate spectra with multiple peaks, and information may be 'lost' by peak overlaps*



$$\Delta E = E_0 - \frac{E_0}{1 + \frac{E_0}{mc^2}(1 - \cos 2\theta)}$$

$$E = hc/\lambda$$



~~Initial photon energy = Compton electron + Compton photon~~

Fano energy resolution,  
leakage current (noise)

$$\frac{\Delta E}{E} \propto \frac{1}{\sqrt{N}}$$

stopping power,  
X-ray absorption length

Signal development time  
(max. counting rate)

Material	Z	Bandgap [eV]	Mobility [cm <sup>2</sup> /Vs]		Density g/cm <sup>3</sup>
			electrons	holes	
Si	14	1.1	1350	480	2.3
Ge	32	0.7	3800	1800	5.3
Diamond	6	5.5	4500	3500	3.5
GaAs	31-33	1.5	8600	400	5.4
AlSb	13-51	1.6	200	700	4.3
GaSe	31-34	2.0	60	250	4.6
CdSe	48-34	1.7	50	50	
CdS	48-16	2.4	300	15	4.8
InP	49-15	1.4	4800	150	
ZnTe	30-52	2.3	350	110	
WSe <sub>2</sub>	74-34	1.4	100	80	
BiI <sub>3</sub>	83-53	1.7	680	20	
Bi <sub>2</sub> S <sub>3</sub>	83-16	1.3	1100	200	6.7
Cs <sub>3</sub> Sb	55-51	1.6	500	10	
PbI <sub>2</sub>	82-53	2.6	8	2	6.2
HgI <sub>2</sub>	89-53	2.1	100	4	6.3
CdTe	48-52	1.5	1100	100	6.1
CdZnTe	48-30-52	1.5-2.4			

monoelemental crystals,  
excellent charge transport

Binary and ternary  
compounds

Stoichiometry etc

→ *trapping of charge during drift*

$\mu\tau$  products, schubweg

$\tau_e, \tau_h$  carrier lifetimes

Materials already investigated as radiation detectors

Absorbed radiation energy  $E$  is shared between crystal lattice excitations ( $\sim 2/3$ ) and generation of charge carriers ( $\sim 1/3$ )

this ratio is almost constant for semiconductor materials



Lower bandgap materials can offer better resolution due to better

*Fano statistics*

$$\Delta E = 2.35 \cdot \epsilon_i \cdot \sqrt{FN_Q}$$

$N_Q$  is number of generated charge carriers,

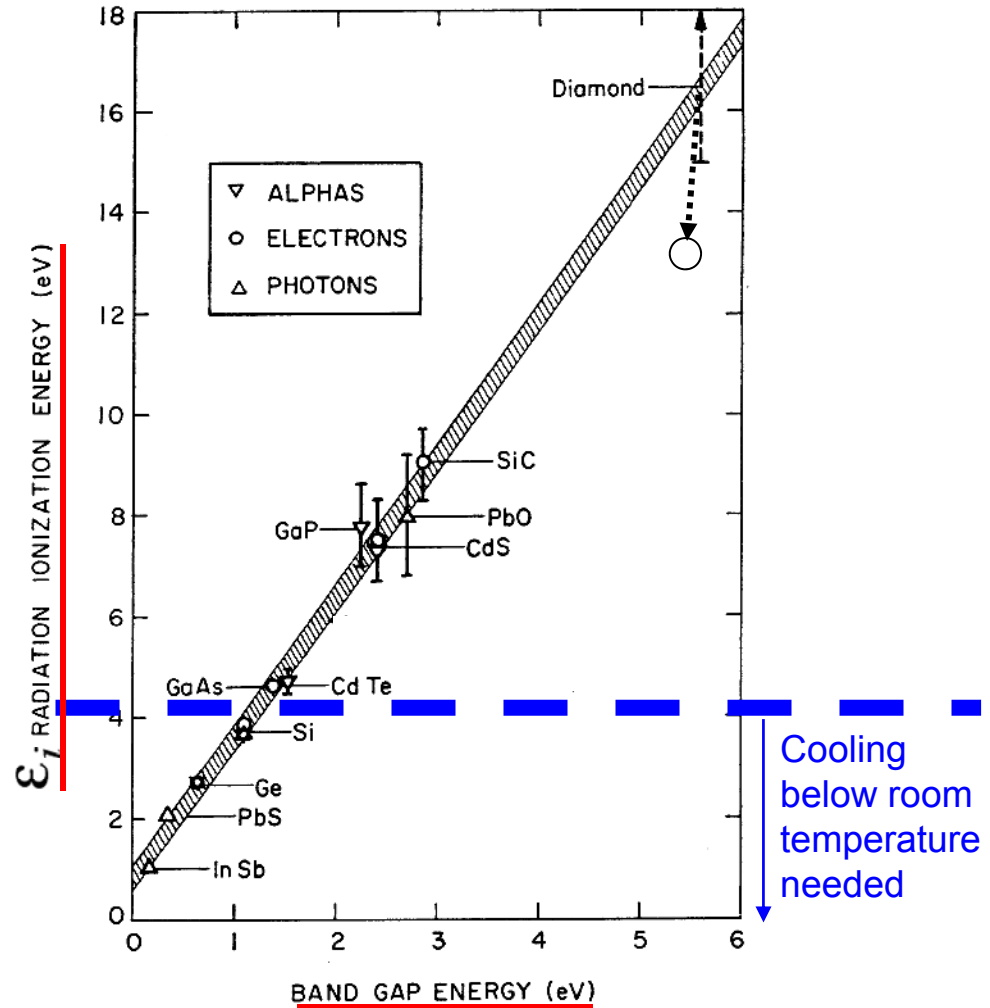
$F$  defined as 'Fano factor'

$$= 2.35 \cdot \epsilon_i \cdot \sqrt{F \frac{E}{\epsilon_i}}$$

$$= 2.35 \cdot \sqrt{FE\epsilon_i}$$

But low bandgap materials must be cooled to limit noise from **thermal** generation of carriers  $\sim \exp(-\epsilon_i/kT)$

and often suffer from 'charge trapping'



C.A. Klein, J. Applied Physics 39 (1968) 2029

Recall, 'physics-statistics' energy resolution limit  $\Delta E$  is set by Fano statistics:

$$\text{FWHM} = 2.35 \sqrt{F \epsilon E} \quad \epsilon = 3.63 \text{ eV/e-h for Si}$$

Fano factor  $F \approx 0.11$  for Si and Ge ( $F$  is *not* a constant)

U. Fano, Phys. Rev. **72** (1947) 26

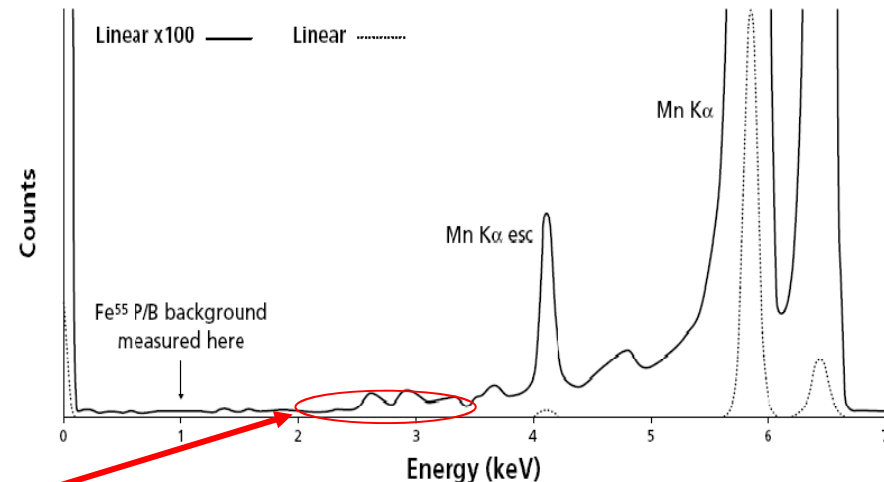
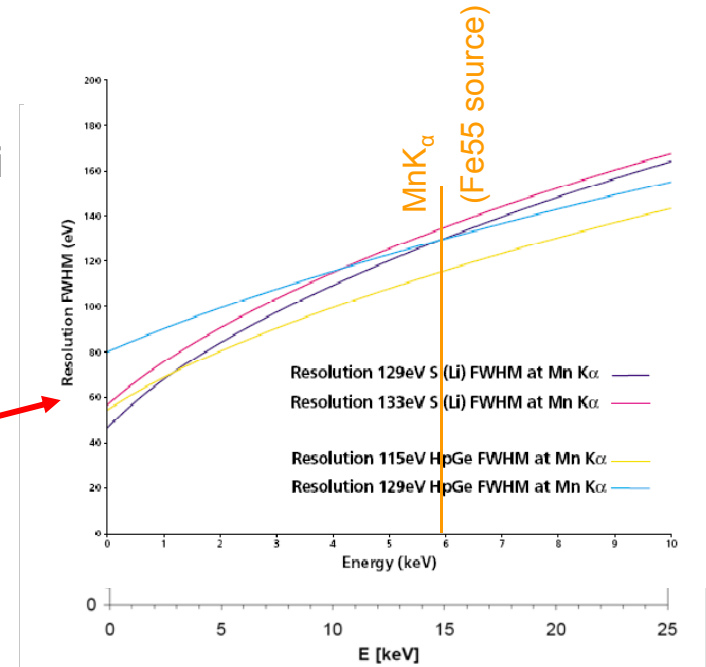
But *measured* spectral resolution  $R$  is quadrature-sum of above **Fano statistics** and **electronic noise** :

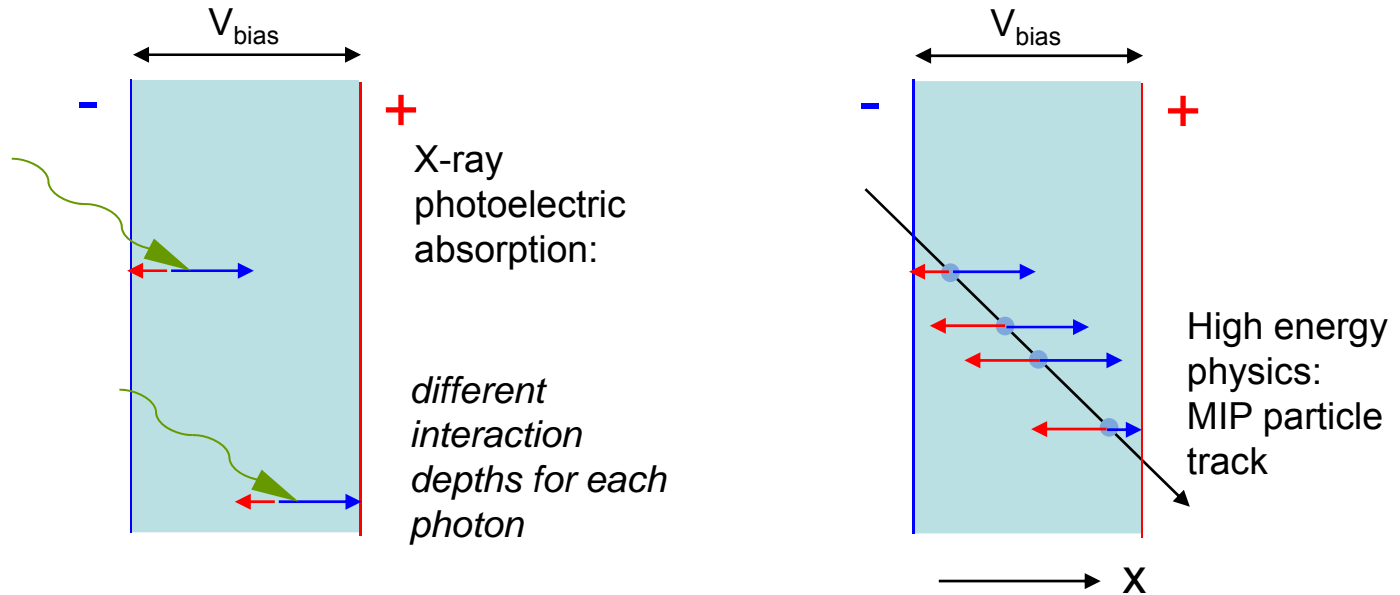
$$R = \sqrt{(\text{Fano})^2 + (\text{electronic noise})^2}$$

$R$  should have ~Gaussian symmetric shape, but rarely does at  $\leq 1\%$  level... multiple causes:

- near surface X-ray absorptions with incomplete charge collection
- 'ballistic deficit' associated with charge collection and pulse filtering time
- 'external' noise sources
- pulse processor effects (pile-up and baseline degradation at high count rates)

*Peak-valley performance may be critical*

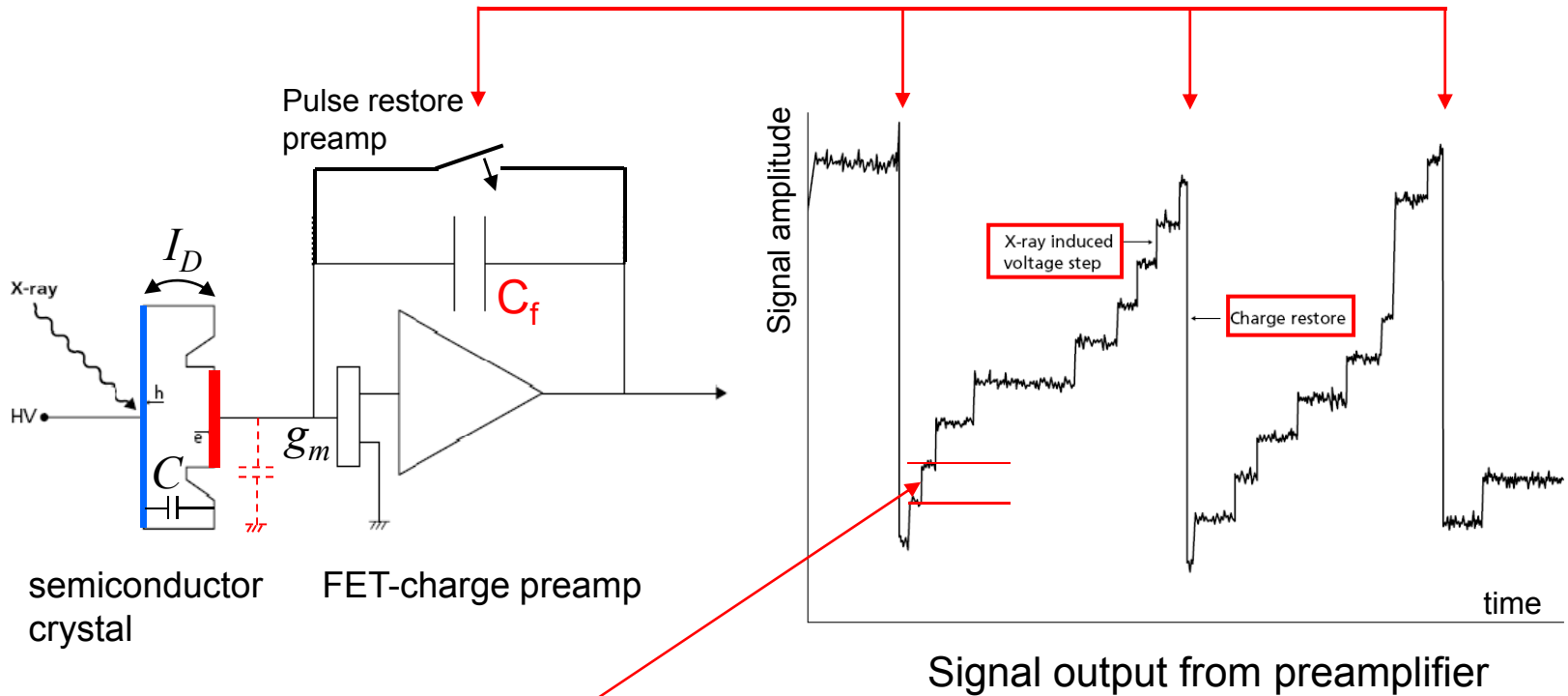




for photons → **variation in signal-time development according to photon interaction point**

In spectroscopy measurements, problem is avoided by use of *charge sensitive preamplifier* which integrates the  $i(t)$  signal current

*assuming no charge trapping!*



$$\text{charge preamp, signal out (volts)} = \frac{\text{charge in (from X-ray photo-conversion)}}{\text{preamp feedback capacitance } C_f}$$

Charge preamplifier →

Signal amplitude is proportional to collected photoelectric charge (i.e. to X-ray energy)  
 ...and independent of detector bias, interaction point (charge drift time variations)



Charge  $q$  created by X-ray absorption is

$$q = 1.6 \times 10^{-19} E_{\text{xray}}(\text{eV}) / \epsilon_i \quad (\text{Coulombs})$$

$\epsilon_i = 3.63\text{eV} / \text{electron-hole pair for Si}$  ,  $2.9\text{eV} / \text{electron-hole pair for Ge}$

→ for germanium detector preamp' with typical feedback  $C_f = 0.1\text{pF}$ , a 10keV Xray gives a voltage step signal of only 0.5mV and we need to measure this with a precision of <1% !

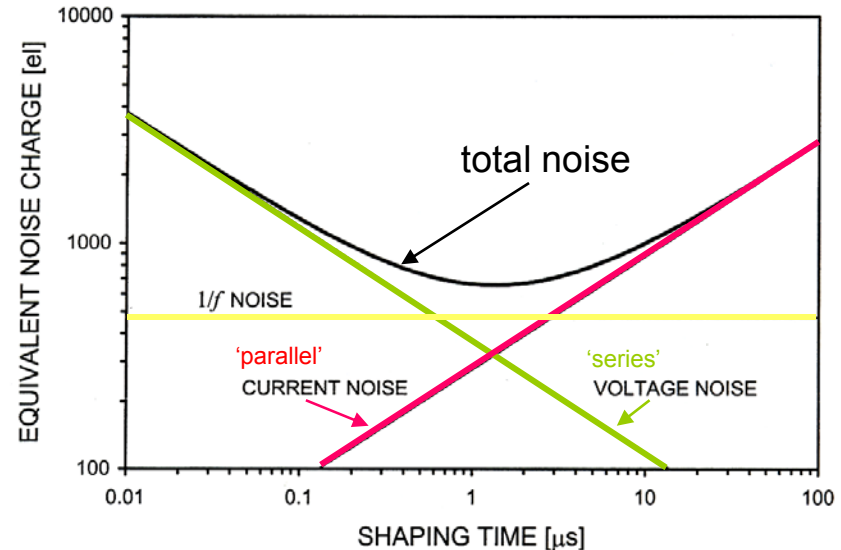
*NOISE contribution of electronics (preamplifier) must be minimized*

For charge preamplifier, 'Equivalent Noise Charge' (expressed in e- r.m.s) analysis gives

$$ENC \approx \sqrt{\left( \frac{kT}{2R_p} + \frac{eI_D}{4} \right) \tau + \left( \frac{kTC^2}{2g_m} \right) \frac{1}{\tau} + AC^2}$$

parallel noise      series noise      1/f noise

$\tau$  is signal 'shaping time'



To reduce noise:  $ENC \approx \sqrt{\left(\frac{kT}{2R_p} + \frac{eI_D}{4}\right)\tau + \left(\frac{kTC^2}{2g_m}\right)\frac{1}{\tau} + AC^2}$

-maximize  $R_p$

OK,  $R_p \rightarrow \infty$  by using a 'pulse restore'

-minimize detector 'leakage current'  $I_D$

reduce temperature, detector material bulk *and surface*, design tricks

-minimize  $kT$

(OK, cool detector, but limits to this...)

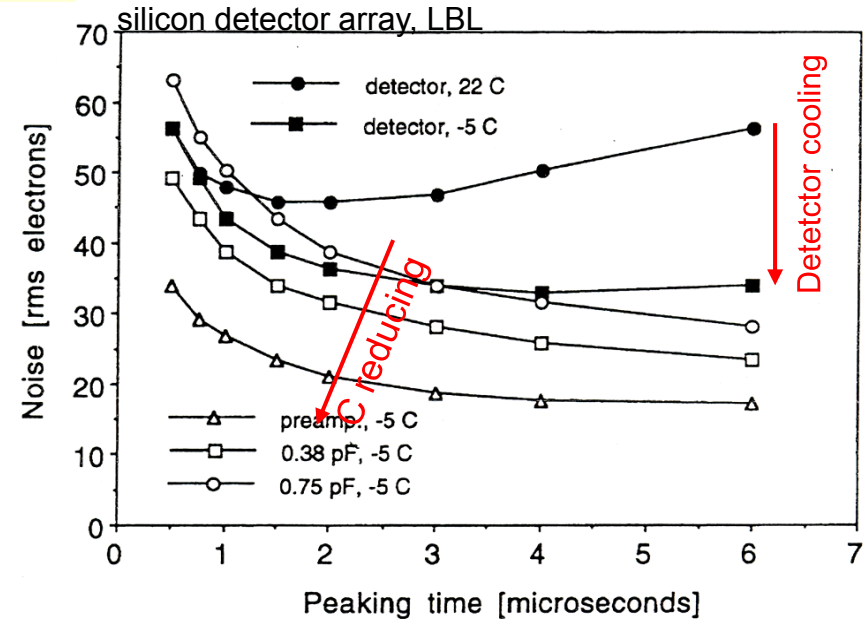
**-minimize  $C$**

(crystal geometry, 'drift diode' designs, FET type/integration)

**-optimize choice of  $\tau$**

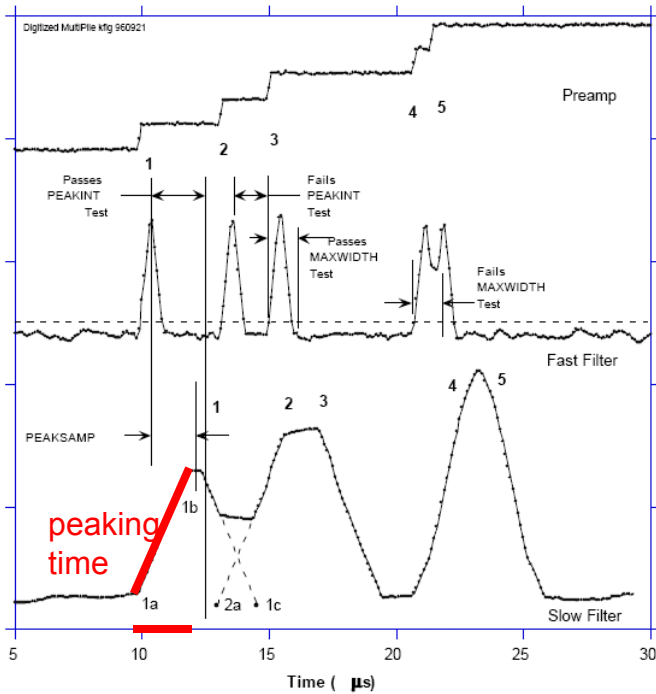
pulse shaping (or peaking) time can be varied 'online' as needed by experiment...

...but need to count at high rates ( $\geq 1/10\tau$ ) limits the maximum  $\tau$  values, i.e. problems of *pulse pile-up*...



Pulse processor (for spectroscopy, now almost always 'digital' systems) has several tasks:

- ⇒ minimize preamp noise contribution to resolution (filter peaking time and shape )
- ⇒ detect and reject *pulse pile-up* events (and detector preamp pulse restores...)
- ⇒ and record corresponding detector 'dead time':

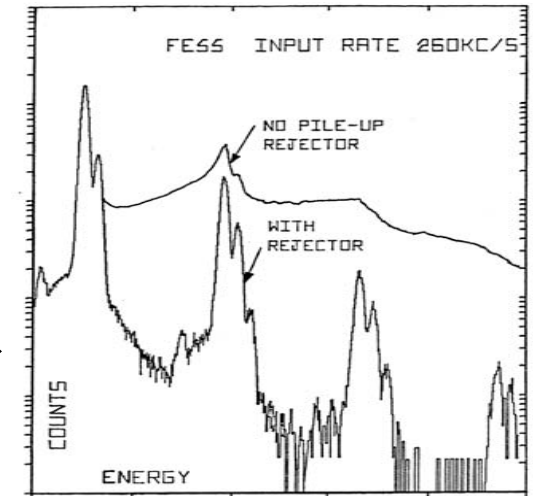


Fast channel  
(time info')

'slow' channel  
(energy)

PUR

spectrum



Spectroscopy pulse processors are 'paralysable':

- 'dead-time'  $T_p$  for processing each event
- any second event occurring within time  $T_p$  is rejected to avoid false 'pileup' peak in spectrum

For Poisson time-distributed X-ray events, measured spectrum output count rate can be obtained from

$$ICR = OCR \exp(-ICR \times T_p)$$

$T_p$  is 'dead time per event'

$\approx 5x$  pulse 'shaping time' or  $\approx 2x$  'peaking time'

Limits to OCR usually:

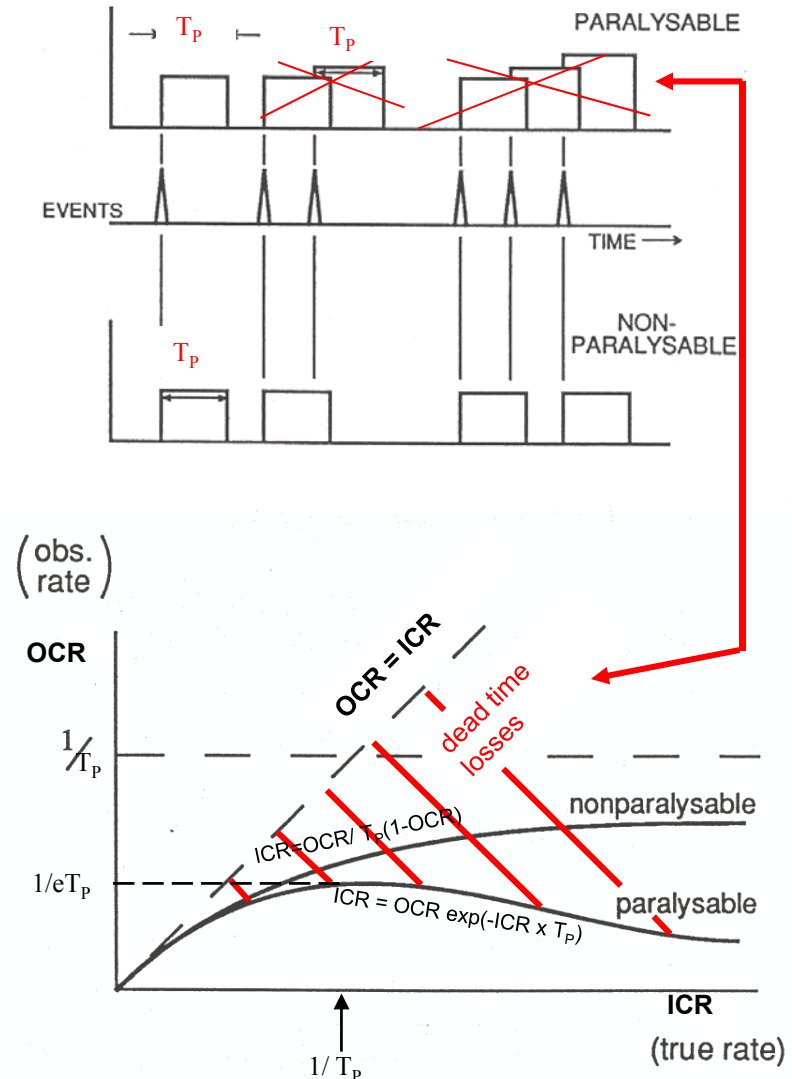
Low counting rates:

insufficient detector size (solid angle)

High counting rates:

$T_p$  cannot be reduced (energy resolution degrades!)

$\Rightarrow$  **multielement detector systems**



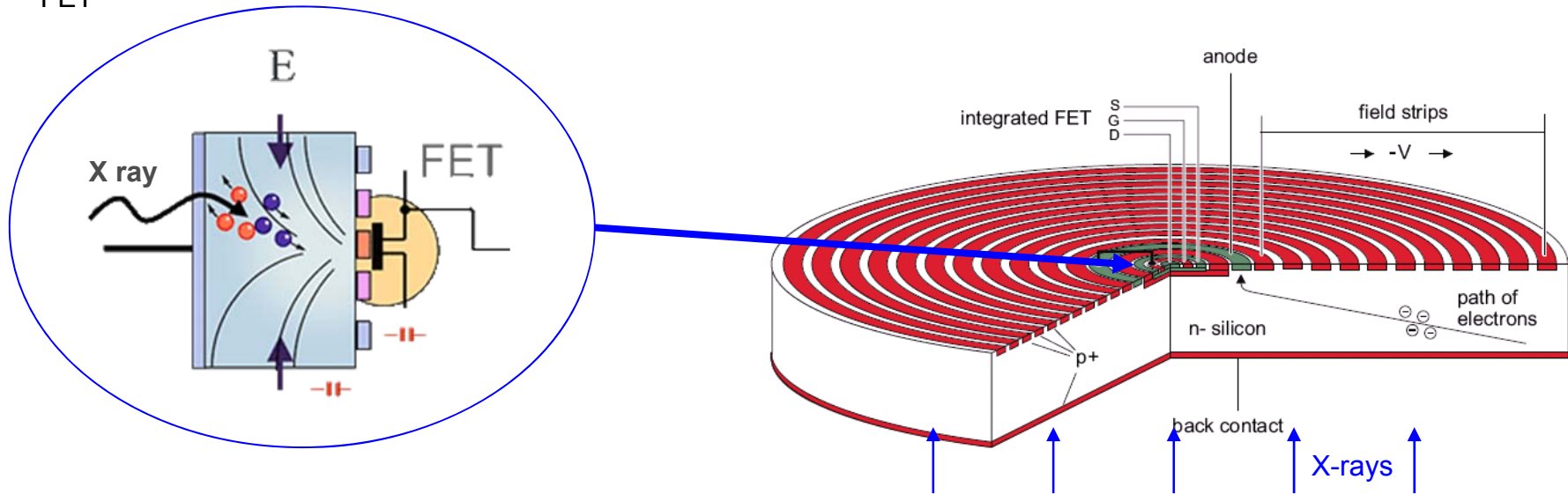
SDDs exploit the complex processing technologies available for planar processing of silicon:

Charge carriers collected at *low capacity*  
anode electrode contact

multielectrodes establish *transverse drift field*

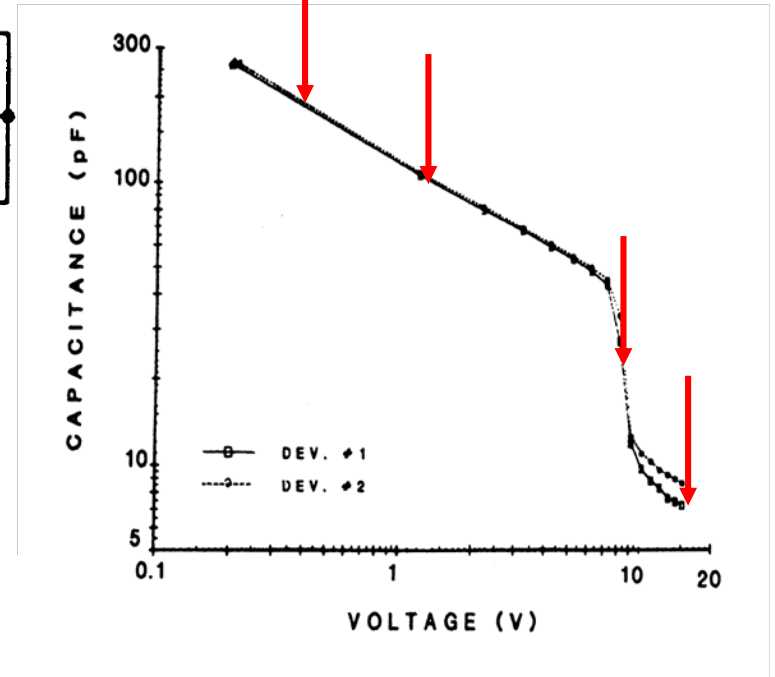
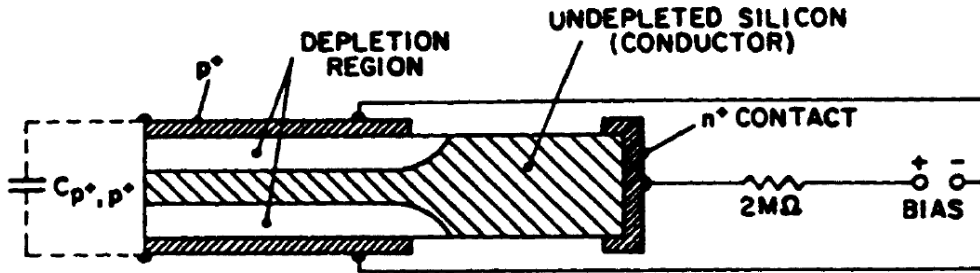
charge is collected over large surface area  
(up to 1cm<sup>2</sup>) without increasing anode  
capacity

preamp' first stage 'FET' may be  
integrated into detector with  
 $C_{FET} < 100\text{fF}$

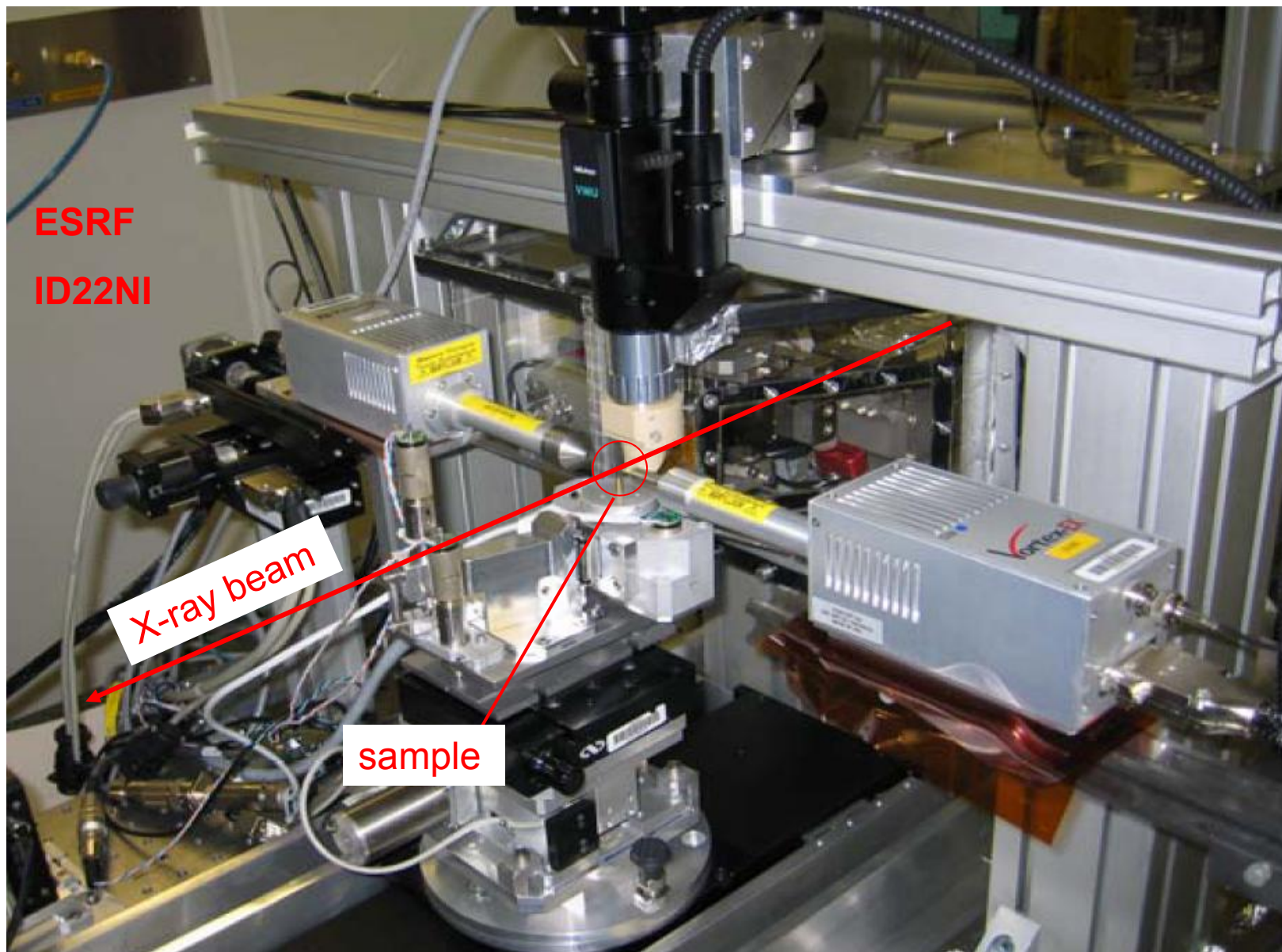


- high resistivity (i.e. low impurity) silicon → low bulk generation leakage current
- thermoelectric Peltier cooling -10°C...-70°C is sufficient for spectroscopy with pulse processor peaking times ~0.2 ... ~10 μsec

→ *compact and lightweight (~kgm) systems, insensitive to acoustics-vibrations*



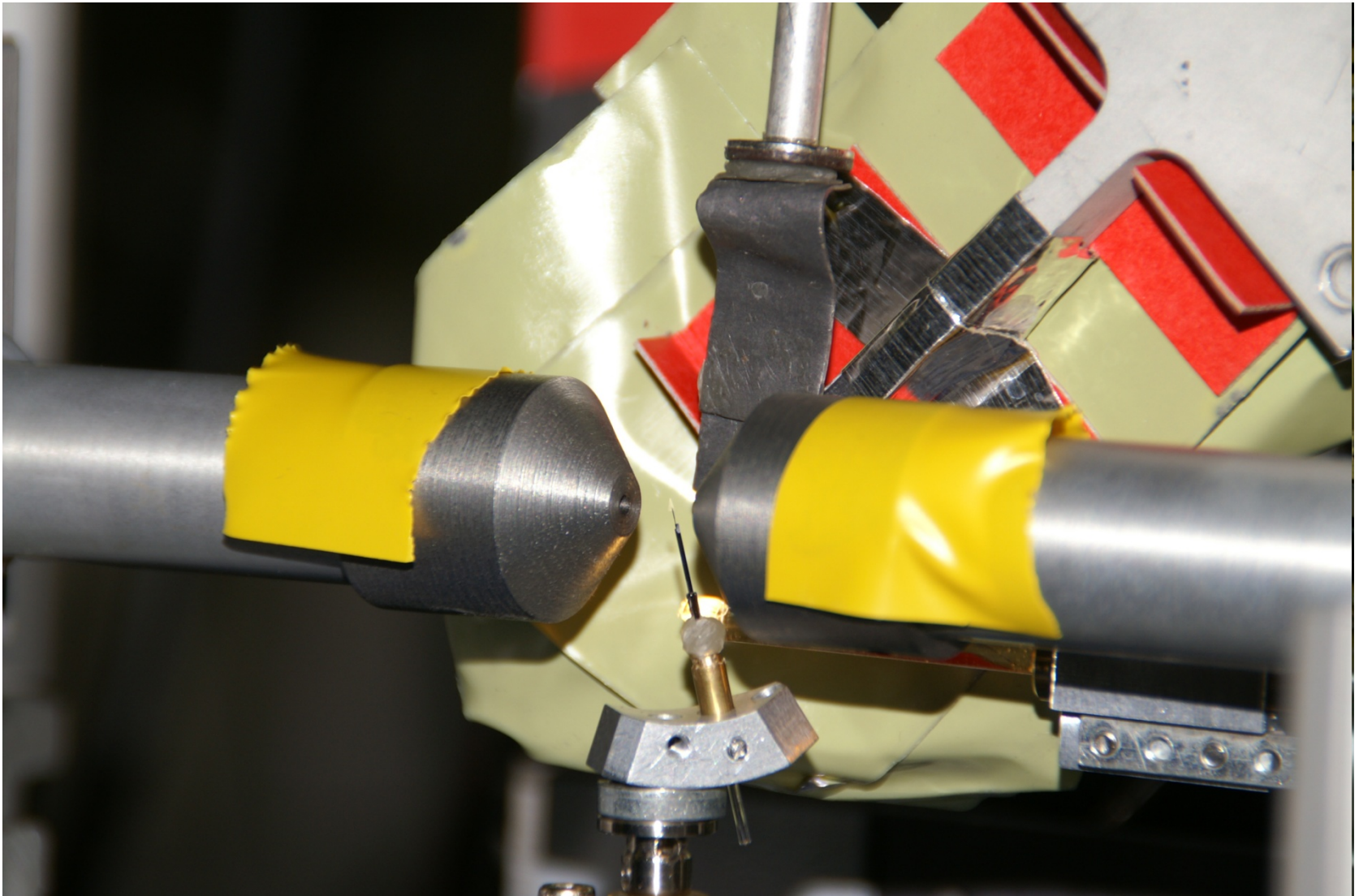
Gatti et al. IEEE Trans. Nucl. Sci. **NS-31** (1985) 1204



ESRF  
ID22NI

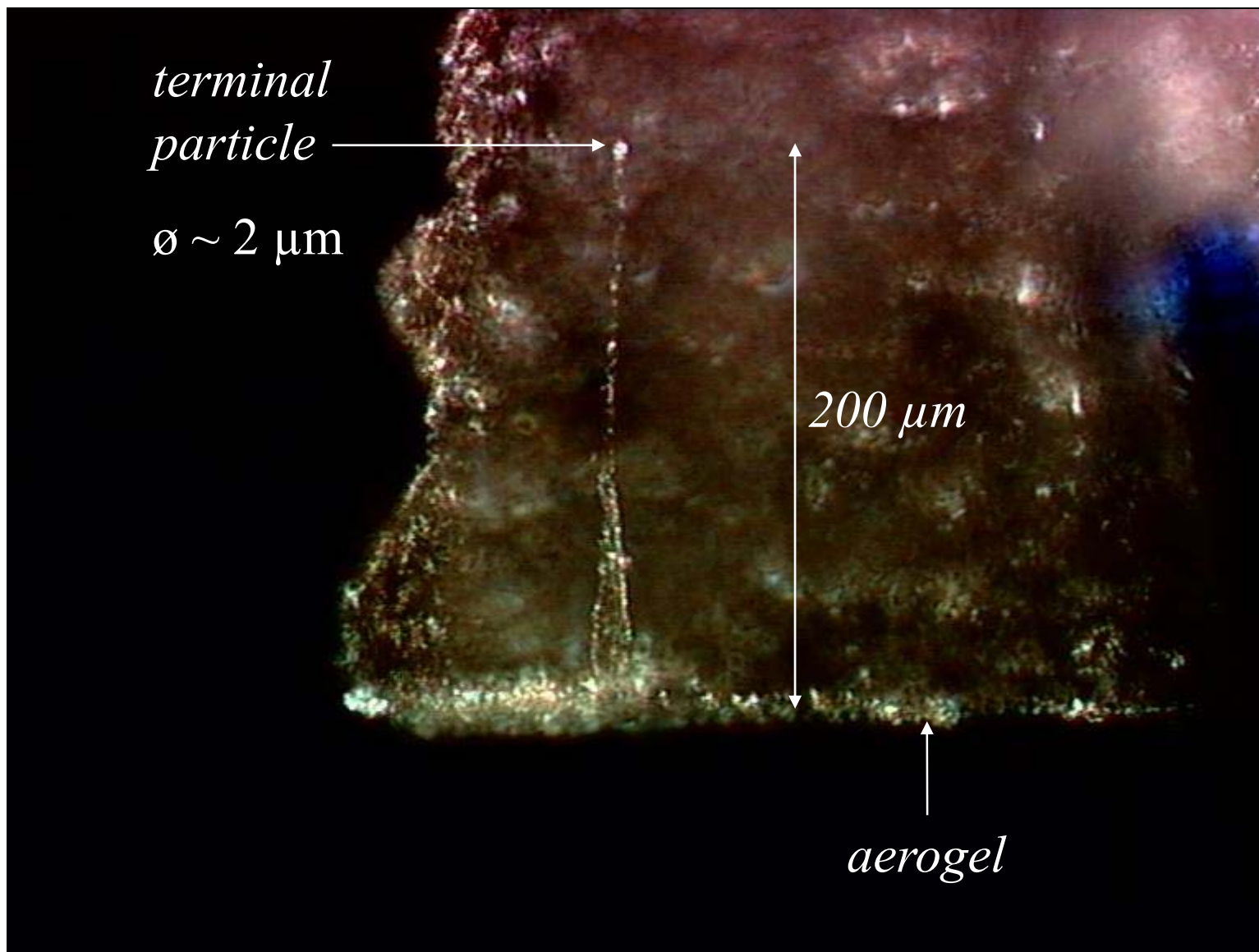
X-ray beam

sample



ESRF ID13



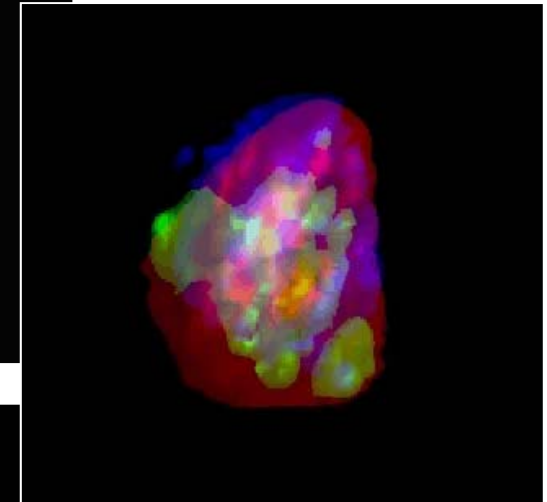
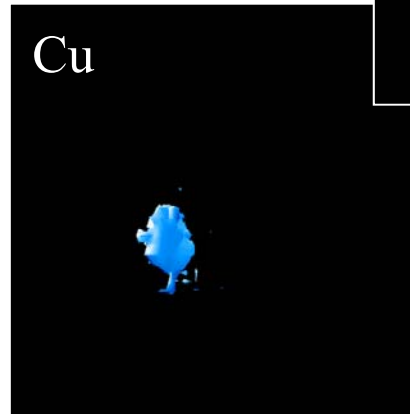
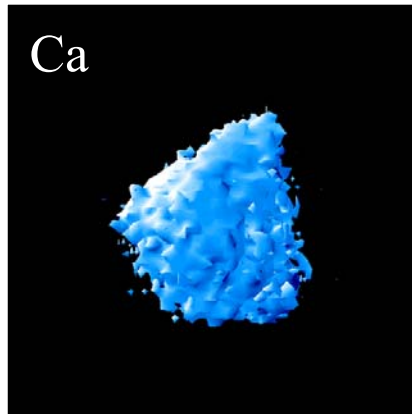
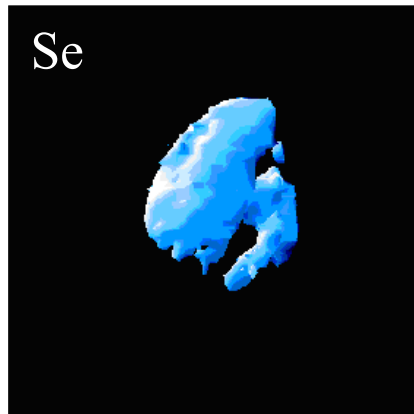
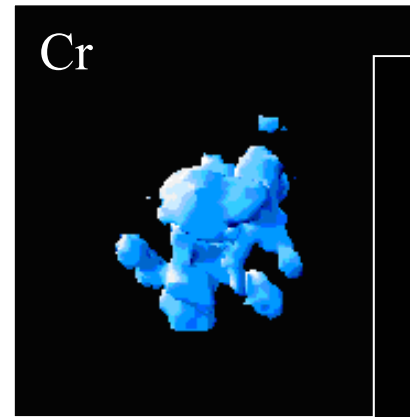
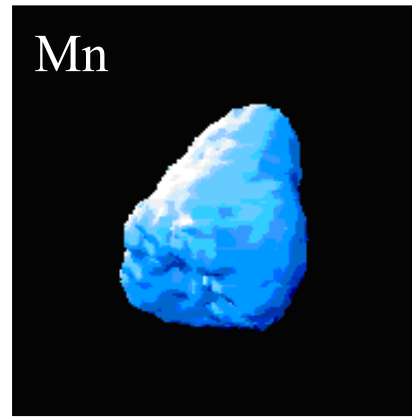
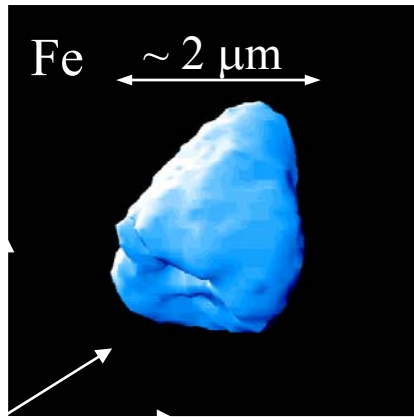


ESRF-ID13 0306

Low intensity isosurfaces (envelopes) of the detectable elements within the terminal particle:

*Courtesy Laszlo Vincze, Univ. Gent*

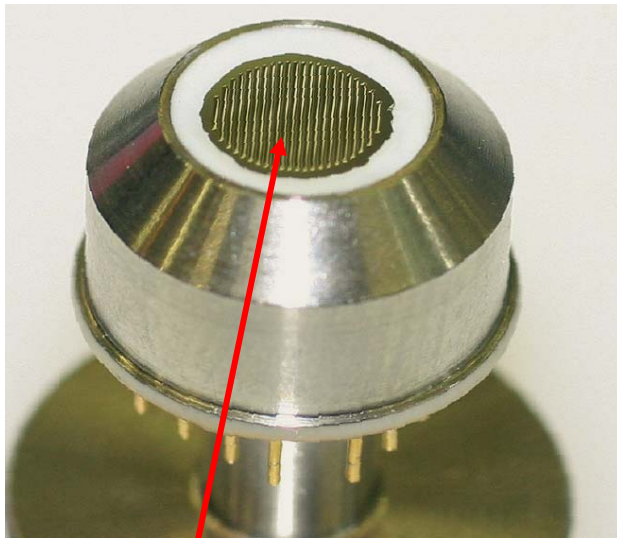
ESRF-ID13 0306



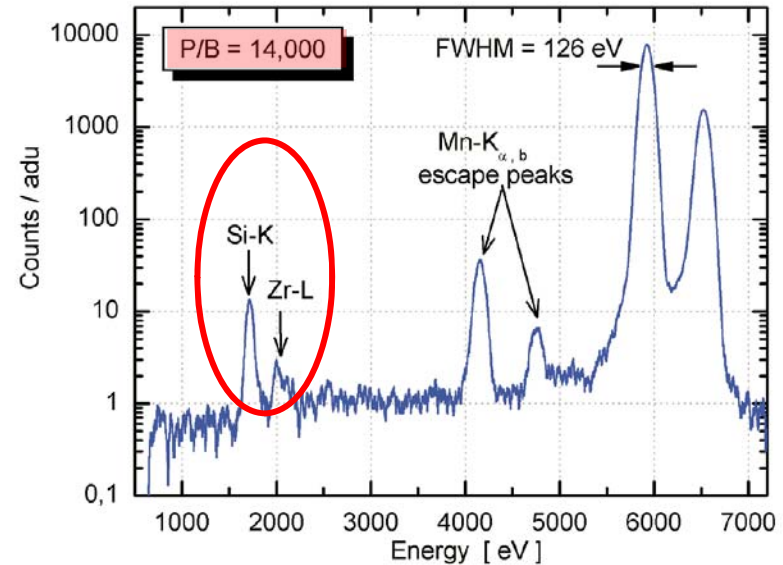
Reconstructed composite image corresponding to *Fe, Cr, Se.*

*=> heterogeneous on the submicron level, main Fe-rich phase: olivine*

- very shallow, abrupt dopant-profile implant for front contact
- Zr collimator ring (avoids partial charge collection from X-rays at detector periphery)

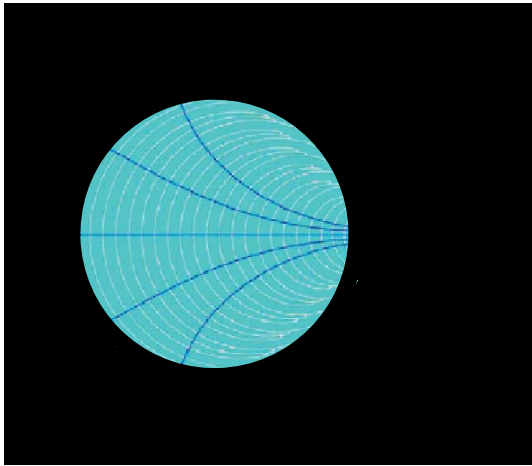


grid supported ultrathin  
(~0.5 $\mu$ ) polymer window

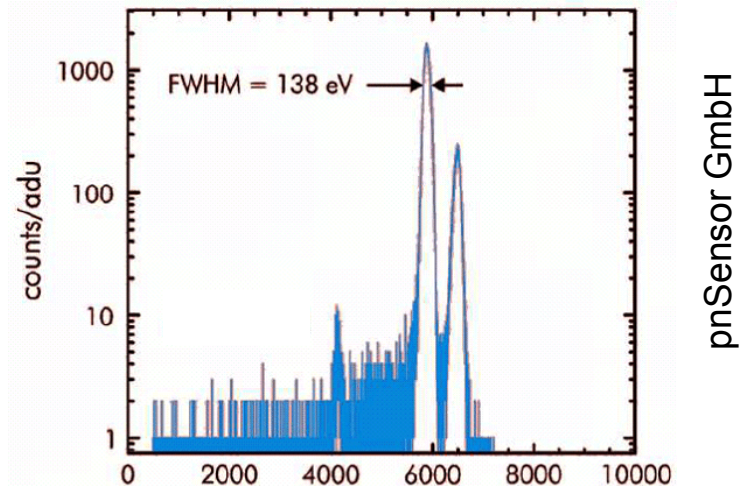


data from pndetector.de  
(2 $\mu$ S pulse processor  
peaking time)

integrated FET structure → near Fano-limited resolution at low count rates (peaking times >1μs)



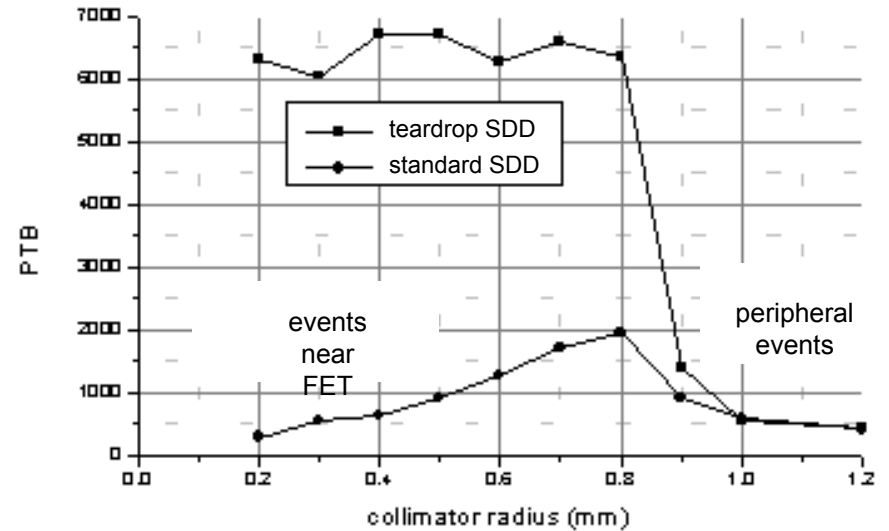
Collimating mask  
e.g. Zr



teardrop geometry + metal collimator

→ peak / valley of 7000

→ radiation protection of FET  
(hole-accumulation in surface oxide and trapping at Si- SiO<sub>2</sub> interface)

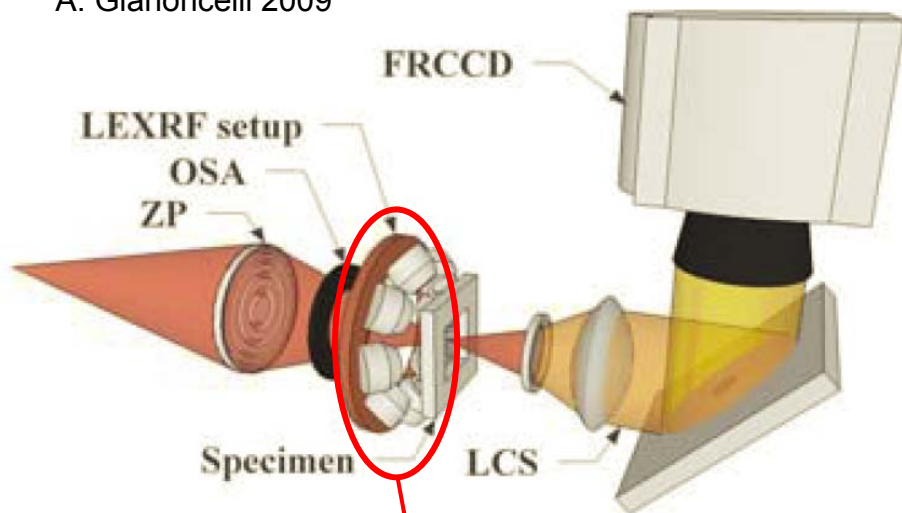


but

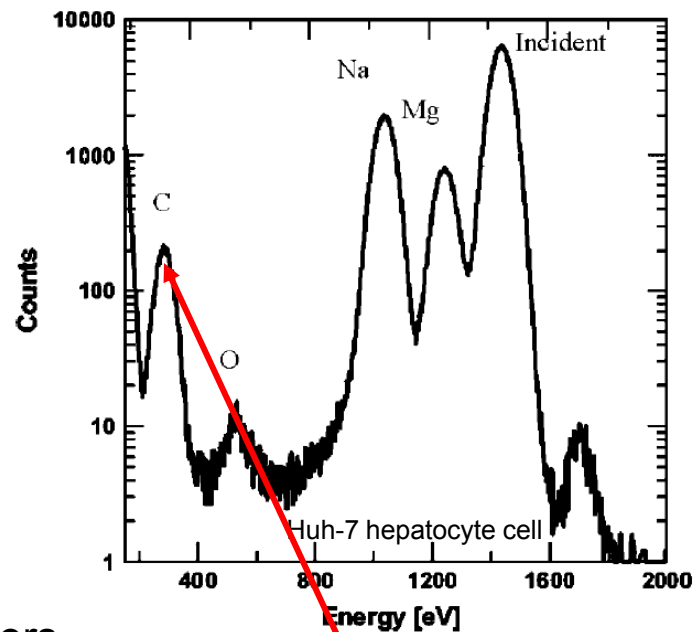
SDD Si thickness limit ~0.4mm cf. 3mm for 'conventional' Si(Li) structure and >10mm for Ge

### TwinMic STXM at Elettra

A. Gianoncelli 2009



ring of 4 → 8 x 30mm<sup>2</sup> SDD detectors



1450 eV excitation,  
77 eV fwhm C K $\alpha$  line  
to count rate of 30 kcps  
R Alberti 2009

!! Non-optimal geometry (beam scatter)

!! detector 'contamination' problems at low energies with 'wet' samples

Multielement detectors can offer higher overall count rates:

e.g. for  $N$  *independent* counting channels and a *uniform angular distribution of counts* we can expect a total count rate capability to be increased  $N$ -fold

multielement detectors (e.g. germanium 13 – 100 elements) are now commercialized

but

synchrotron undulator beams focused on sample are typically  $\sim 99\%$  linear polarized

→ angular dependence of both Rayleigh (elastic) Compton (inelastic) scattering

an EDX detector measures *total count rate* (i.e. fluorescence and scatter)

→ in practice, *effective* count rate gain from an  $N$  channel detector is  $<N$  and often with degraded spectrum quality:

depends on:

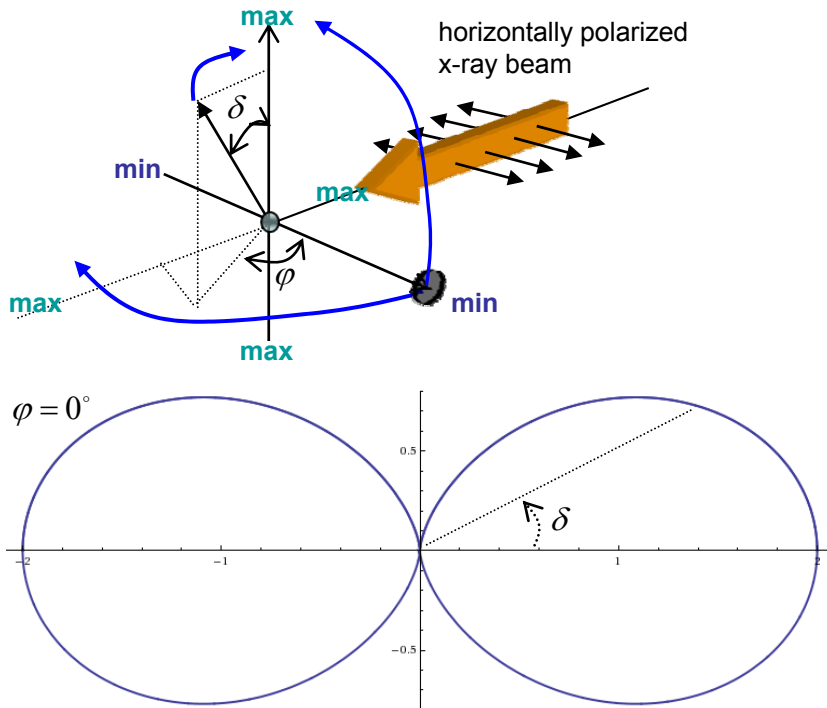
- *the experiment-detector geometry*
- *the sample under investigation (concentration,  $Z$  of matrix, crystallinity...)*
- *energy of excitation beam...*

Polarization dependent elastic scattering cross section:

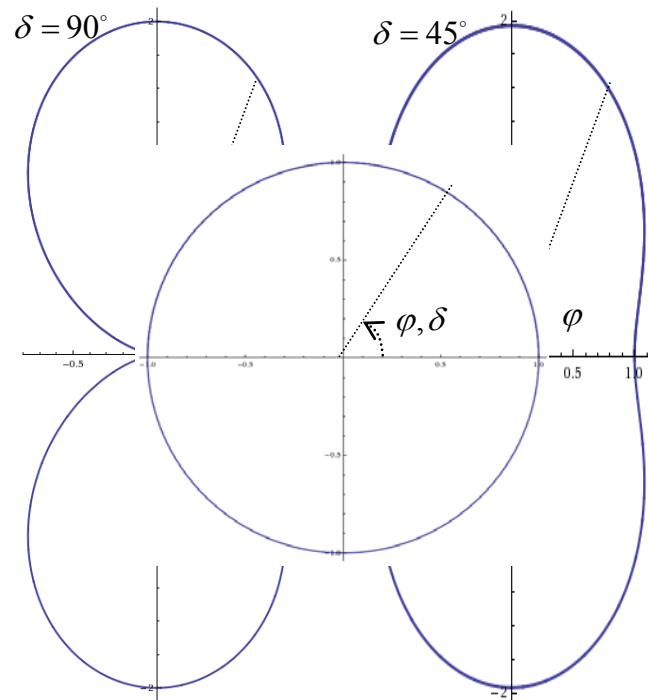
$$\left(\frac{d\sigma}{d\Omega}\right)_{\delta,\varphi} = \frac{r_e}{2} \left(1 + \sin^2 \delta \cdot \sin^2 \varphi + P_0 [\sin^2 \delta \cdot \cos^2 \varphi - \cos^2 \delta]\right)$$

Compton scattering ignored here ('low energy' case)

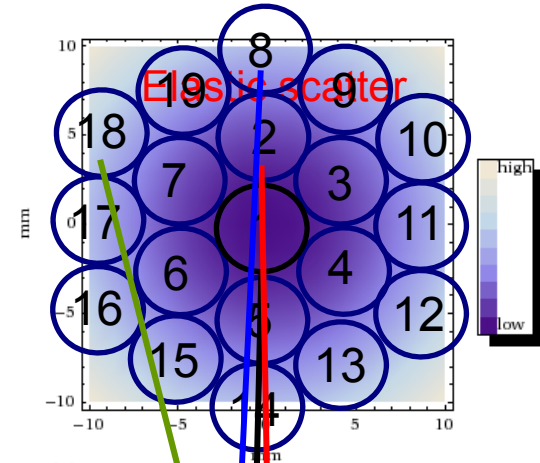
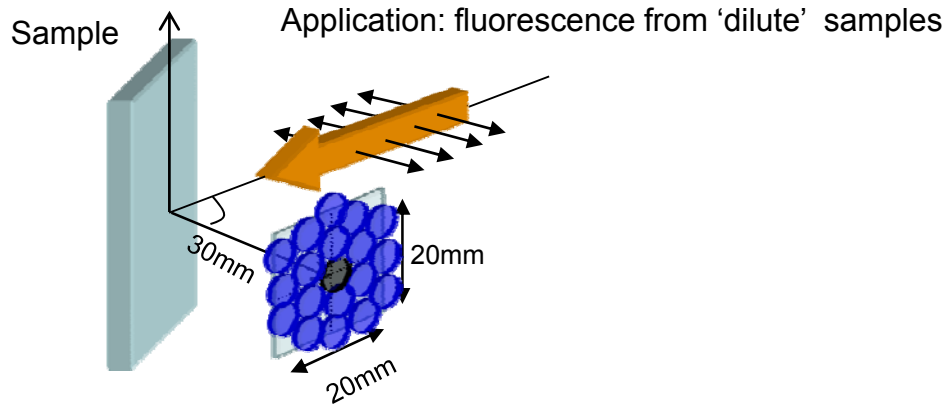
R. E. van Grieken, A. A. Markowicz, Handbook of X-ray Spectrometry (2002)  
following graphics courtesy of J Szlachetko-ID21.



non isotropic process

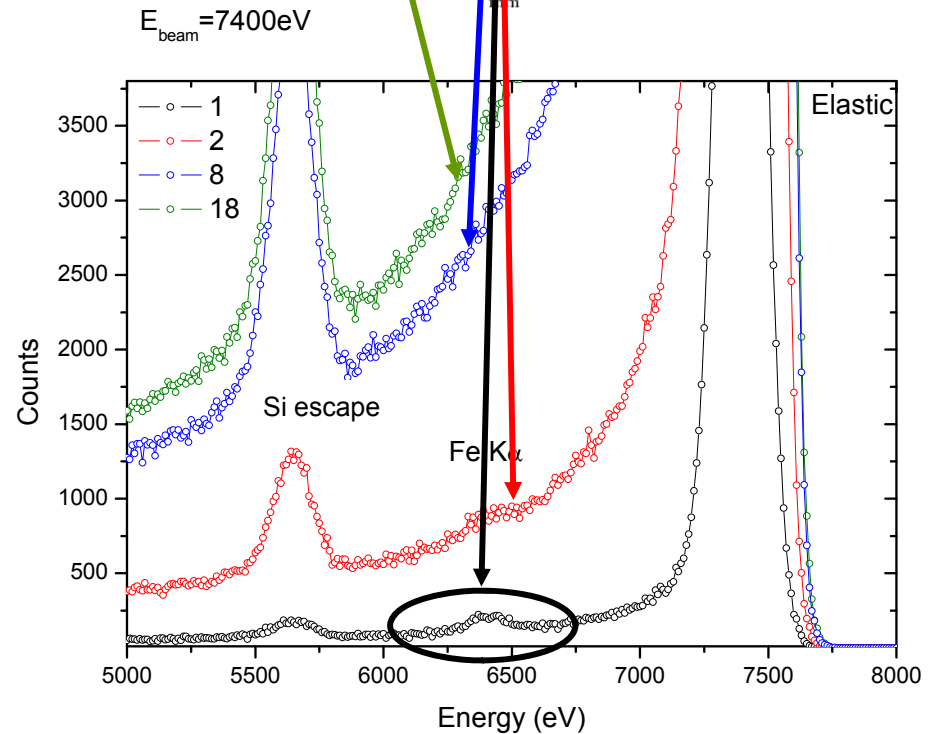
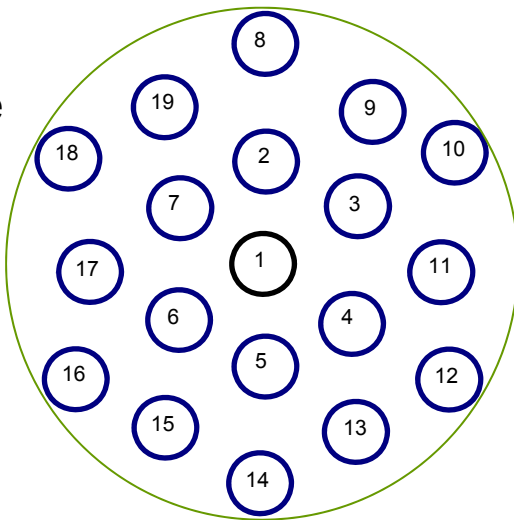


Cross section for **fluorescence** radiation is isotropic (~independent of  $\varphi, \delta$ )



→ importance of multielement  
 'packing factor'  
 i.e. inter-element dead spaces

usual case  
 for  
 'discrete  
 elements'





a semiconductor can be electrically segmented by lithographic mask doping of contacts to create an x, y matrix of individual sensing areas. This gives a 100% sensitive area *but there are problems:*

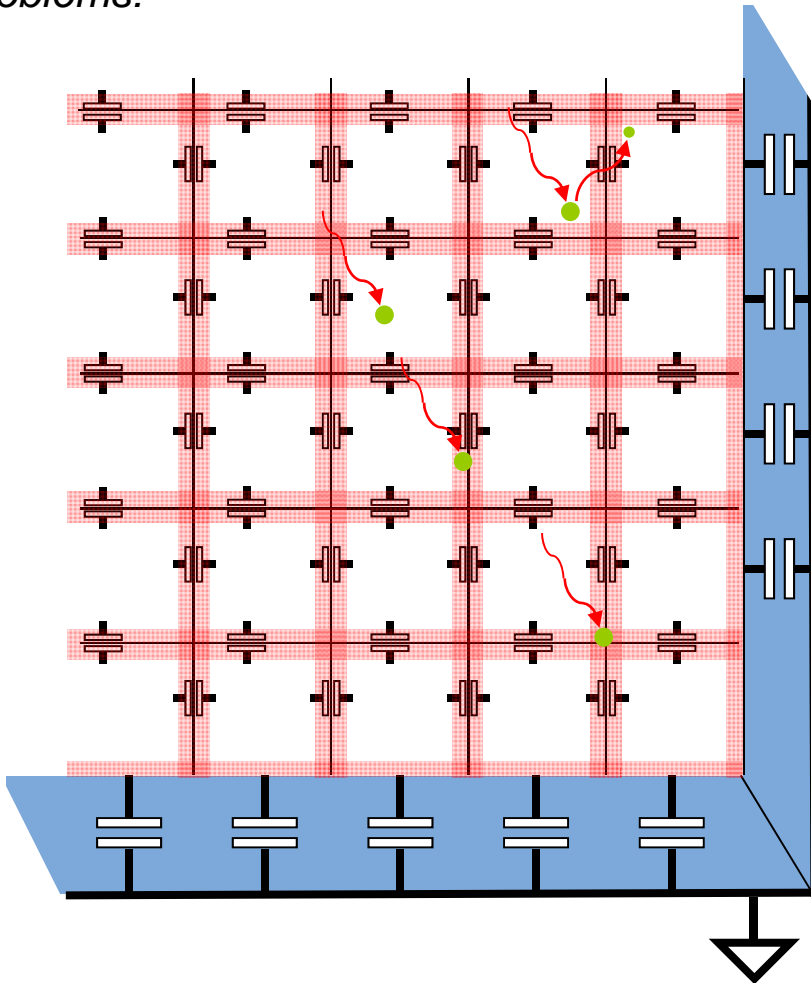
After an X-ray is absorbed, diffusion creates a 'cloud' of electric charge which may be split the signal between bordering sensing areas.

Alternatively, a fluorescence photon may be emitted and absorbed in a neighbour sensing area

These *physical crosstalk* effects clearly become more serious as the individual detector areas are reduced in size. Possible solution is use of a grid collimator to cover border areas.

As well as its shunt capacity to a common rear electrode contact, each sensing area is capacitively coupled to its neighbours. *Electronic crosstalk* from individual fet preamplifier restore switching generates false spectral peaks. Problem is worst for short pulse processor peaking times.

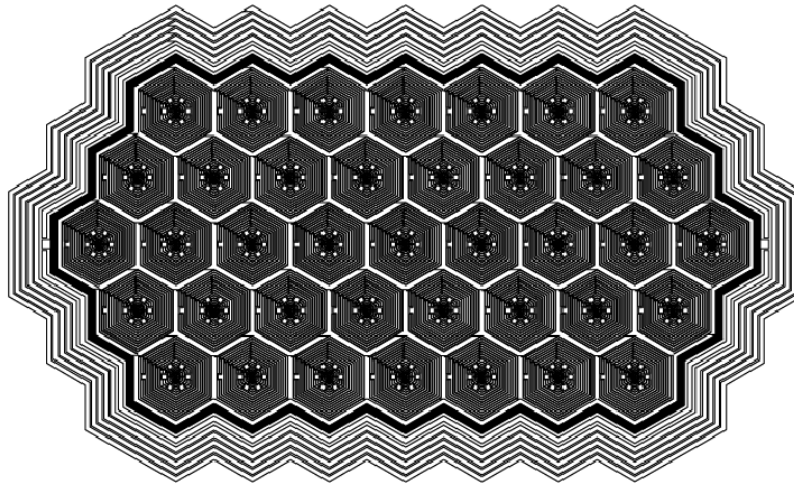
- partial solution is 'synchronous' FET restore



## multielement silicon drift diode arrays

'near wafer-scale' lithographic processing

→ large, tightly-packed arrays possible

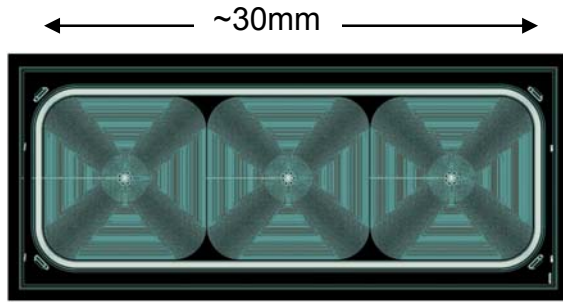


39 cell detector with on-chip FETs,  
*total active area 195mm<sup>2</sup>*

(after L Strüder, MPI-Garching)

practical challenges of large cell counts:

- yield issues (bad cell and cell-to-cell variability, especially on-chip FET parameters)
  - power dissipation (cooling!)
  - need for multi channel pulse processors
  - overall system **fabrication complexity / cost**
- ASiC preamplifier-readout electronics



3-element monolithic array x 2

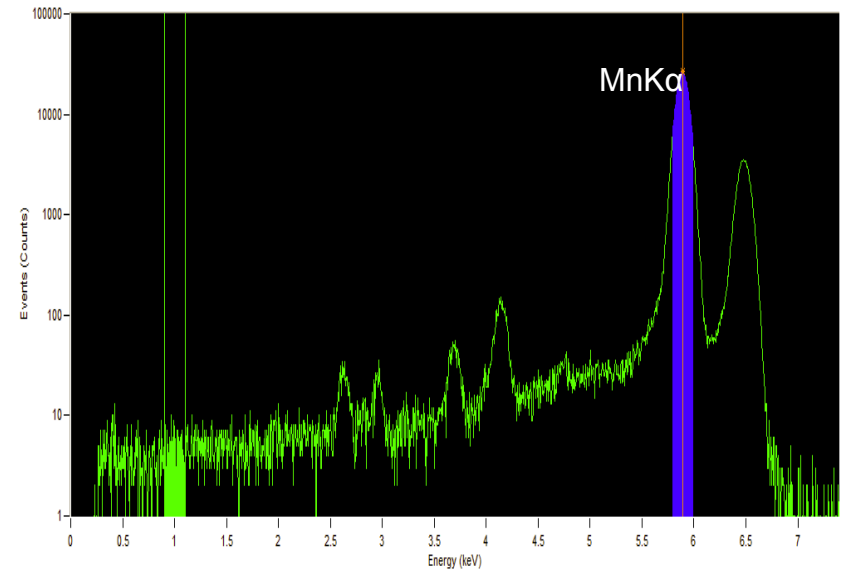


PNSensor / PNDetector

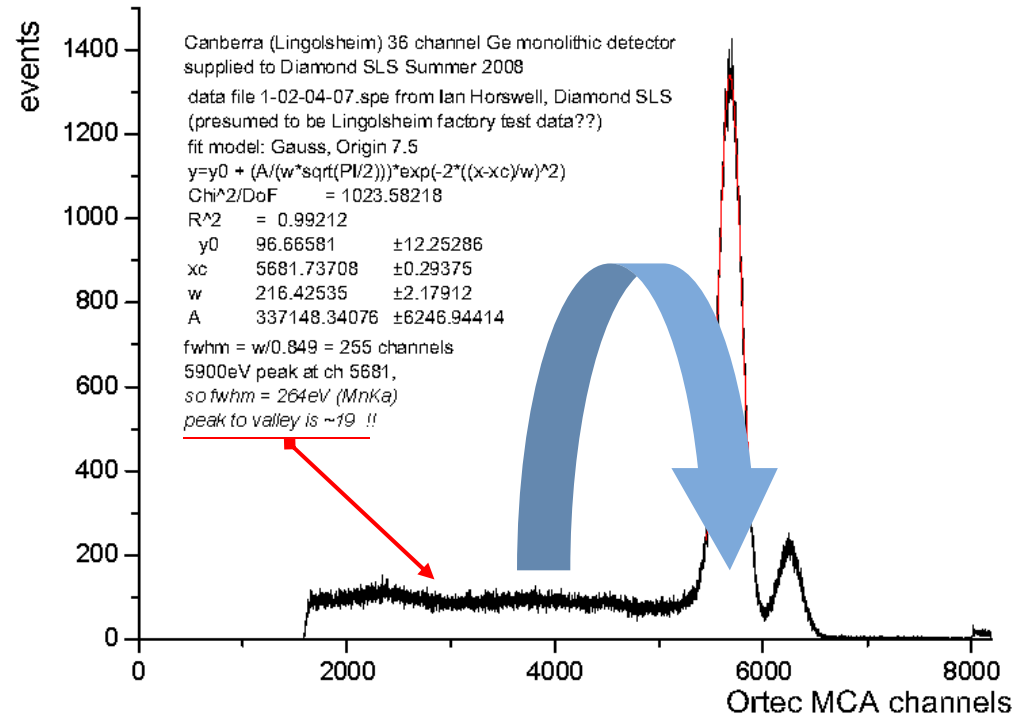
Pulse processor peaking time ( $\mu\text{S}$ ) vs. resolution

Ch	0.1	0.2	0.3	0.5	0.72	1
1	<del>203.8</del>	176.2	164.3	150.0	144.1	137.9
2	<del>207.2</del>	178.9	163.7	149.5	143.9	139
3	<del>203.6</del>	177.1	163.3	149.4	142.3	139.6
1	202.2	178.2	163.6	150.9	143.1	139.9
2	202.3	173.3	162.3	149.9	142.8	138.6
3	204.3	177.4	163.6	151.1	143.6	138.5

→ ~5Mcps total

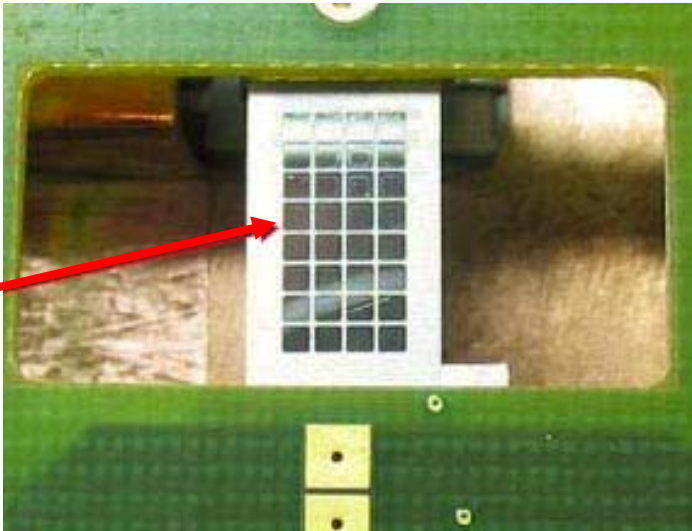


*low count rate spectrum at optimum peaking time  
but what does high rate crosstalk look like??*



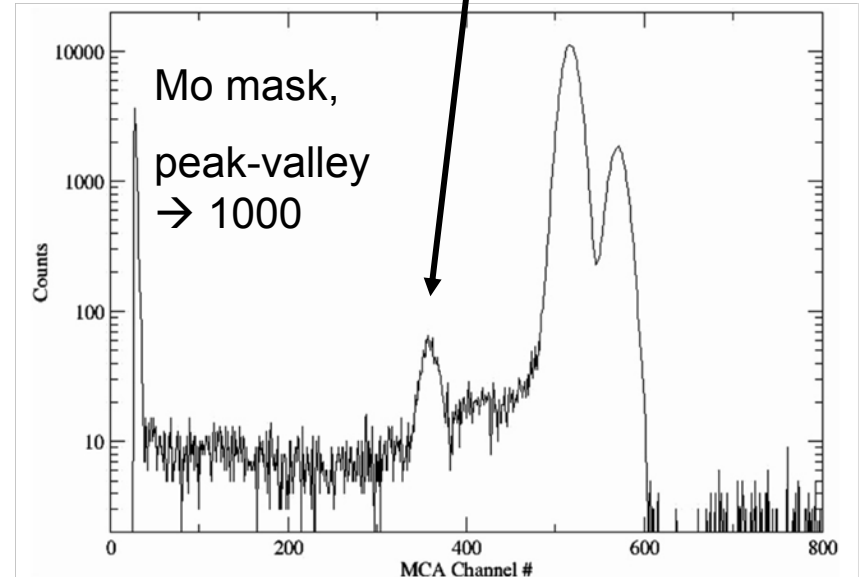
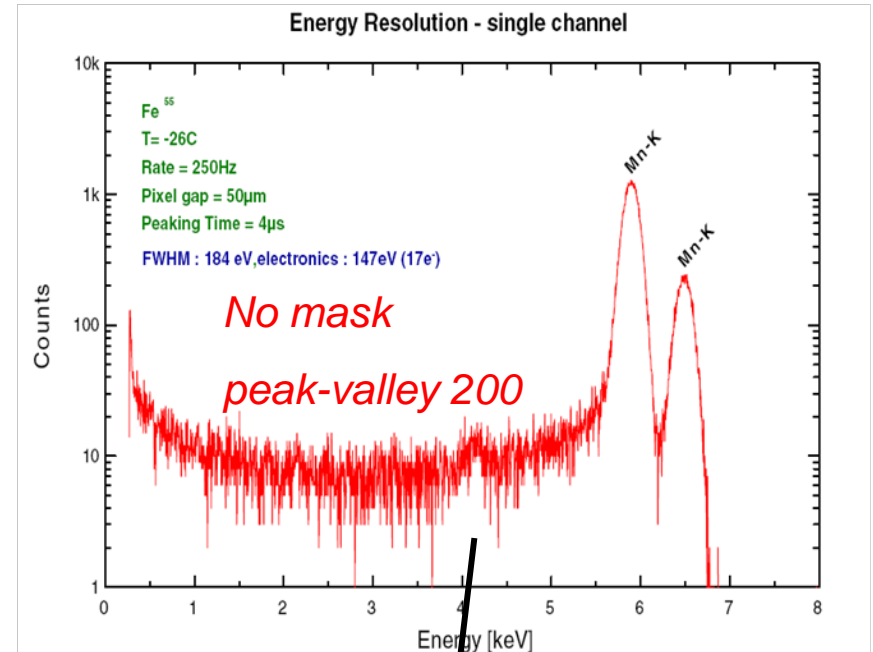
*'split charge' tail events move into photoppeak with time and count-rate dependency...*

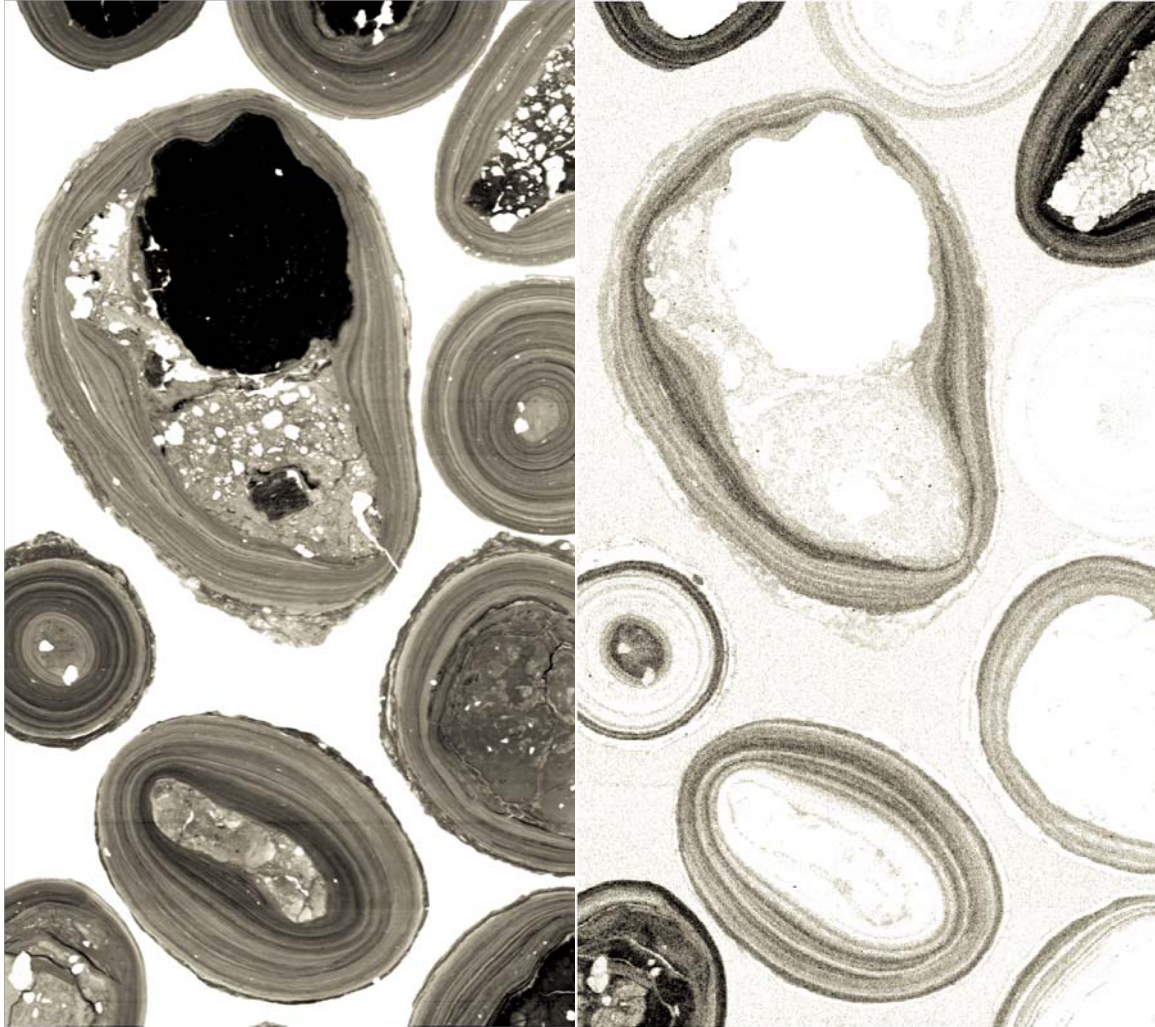
Molybdenum mask on planar silicon detector developed at NSLS-BNL



C.G. Ryan et al. /Nucl. Instr. and Meth. Phys. B  
260 (2007) 1–7

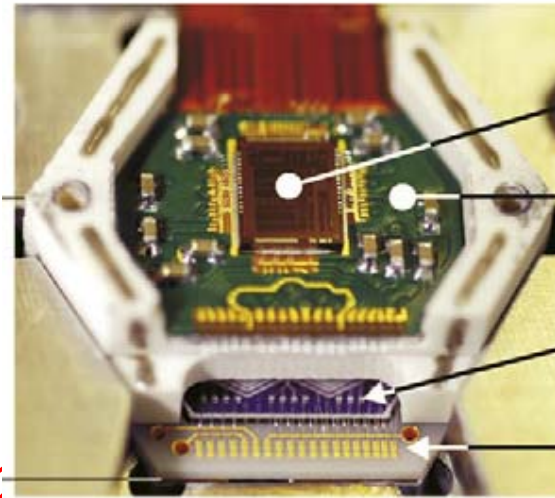
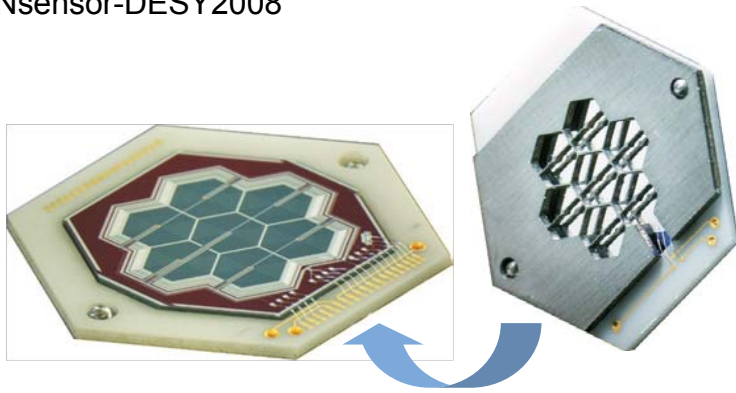
‘Maia’ fluorescence detector now in development by  
BNL and CSIRO,  
→ 384 x 1mm<sup>2</sup> detector elements, 400μ thick Si





Fe (left) and trace 10-100ppm Y (right) images of 'Rose Dam' natural mineral iron-oxide nodules  
1625 x 2625 pixels 5ms integration per 7.5  $\mu\text{m}$  pixel, 17.2 keV excitation

PNsensor-DESY2008



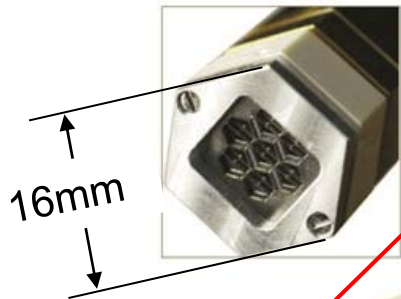
readout ASIC

hybrid circuit

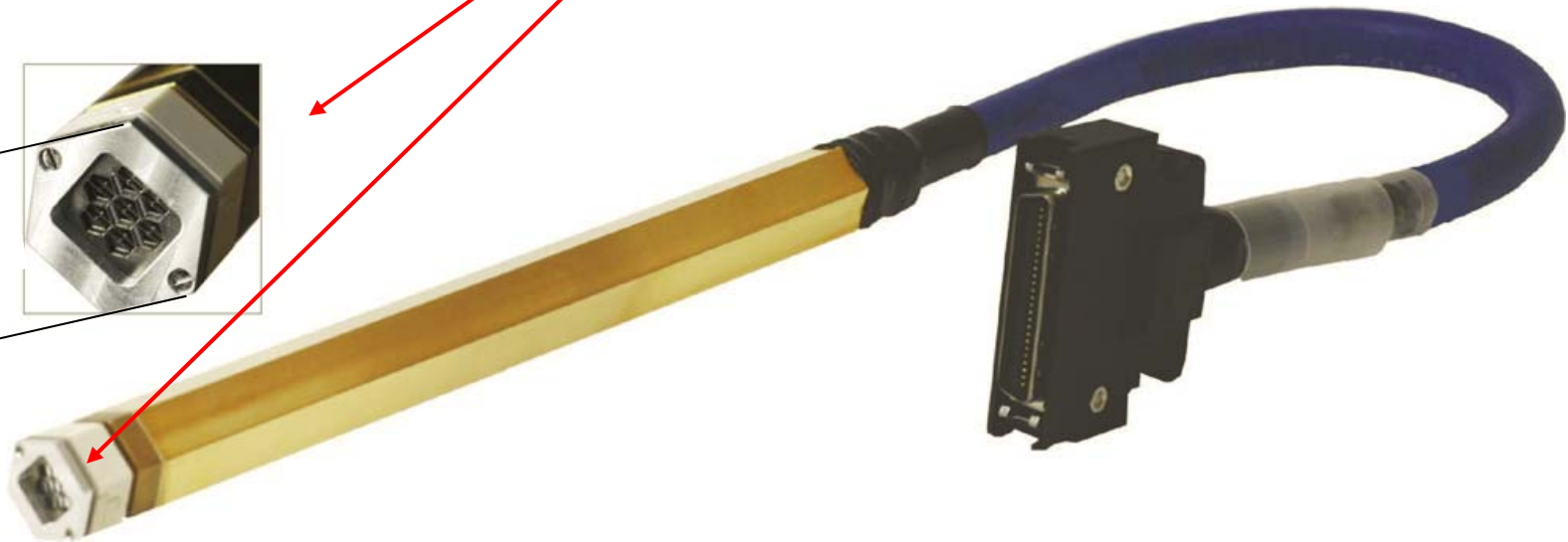
SDD array

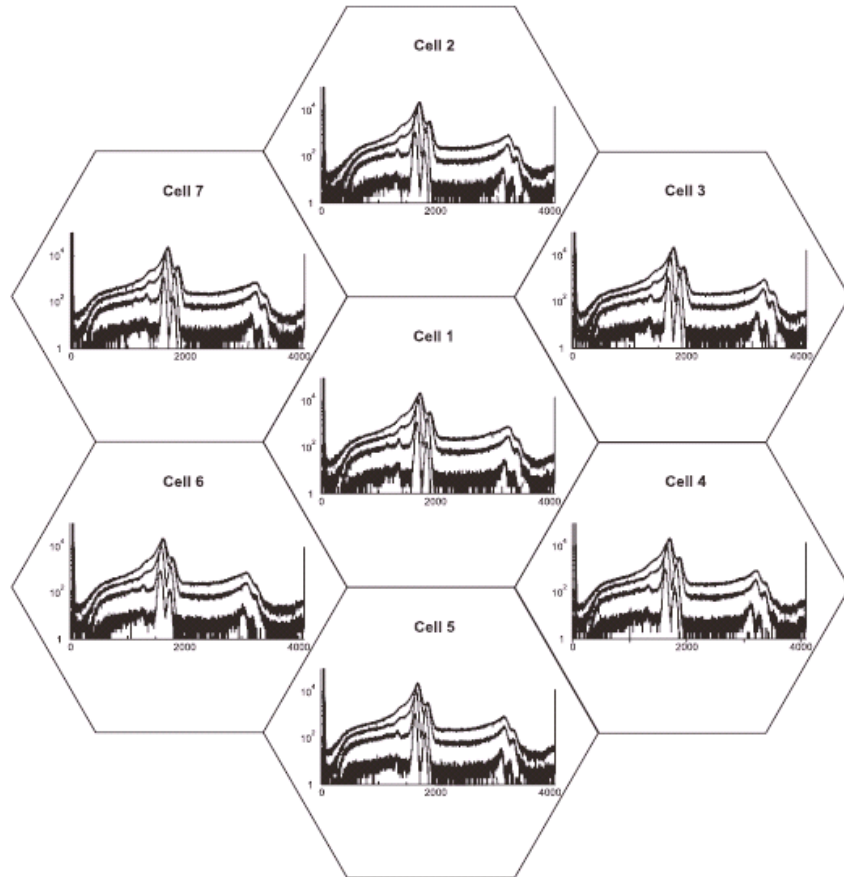
ceramic frame

Hansen et al. DESY2008



16mm



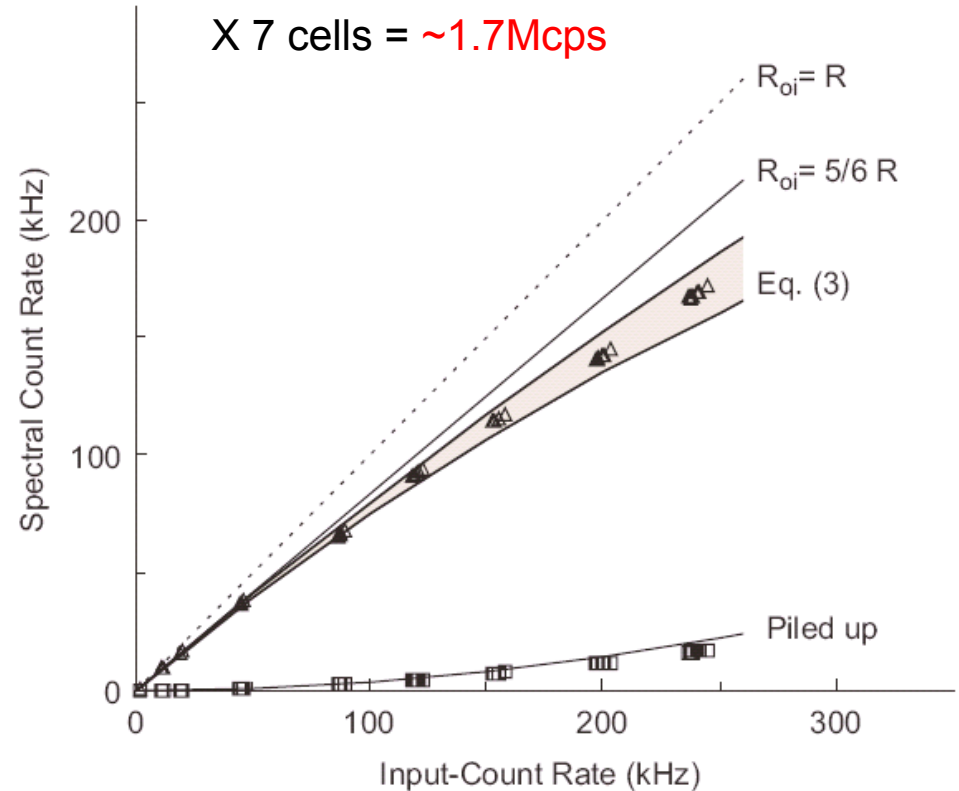


Cu K spectra from the 7 SDD channels, resolution 250~300ev fwhm (at +7°C !)

**Total** count rate

~ 240kcps (30% loss)

X 7 cells = **~1.7Mcps**



Hansen et al. DESY2008



## DEPFET-macropad arrays

Matrix arrangement of DEPFET transistor amplifiers at centre of drift diode structure 'macropad' cells .

Various readout possibilities:

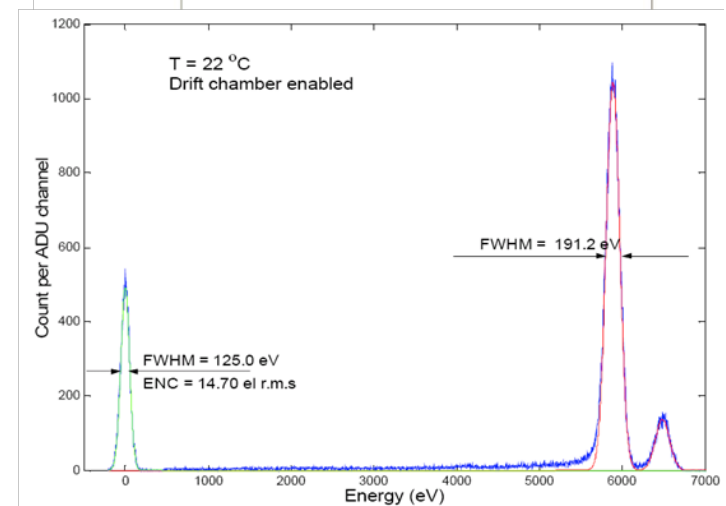
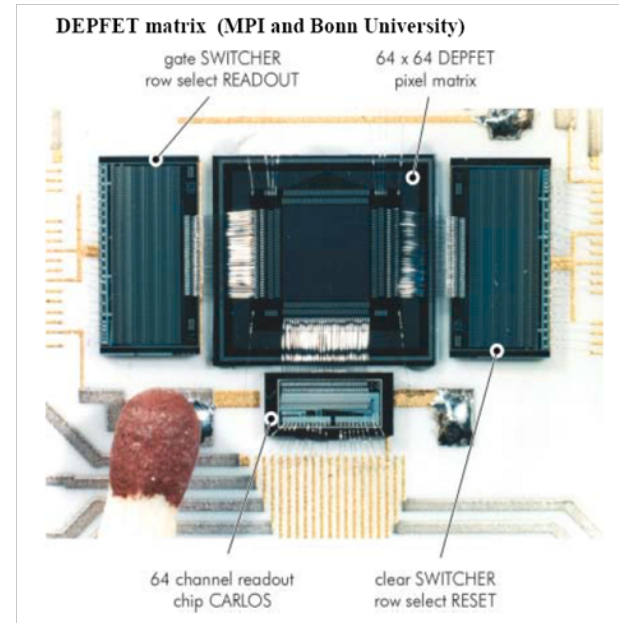
- direct macropad addressing
- row-by-row readout through single node,
- parallel readout of columns (fast)
- pixel bump bonding to CMOS ASIC (*XFEL project*)

*'crosstalk' issues...*

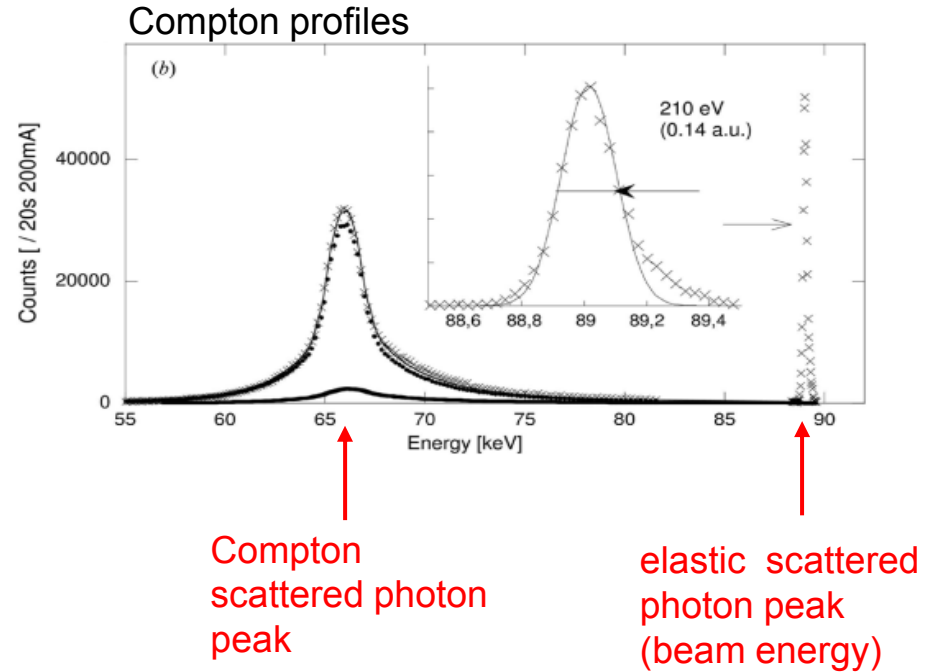
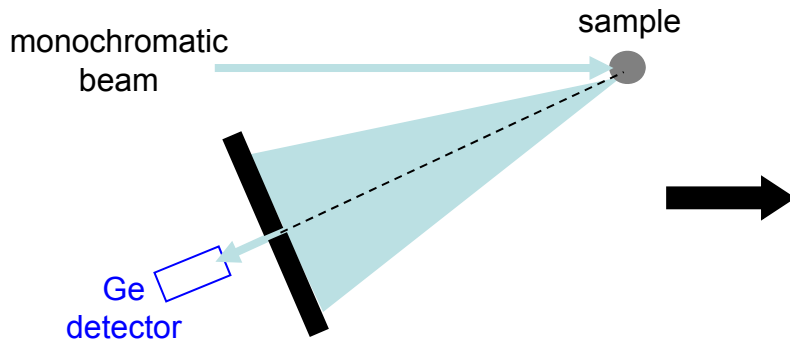
4 x 4 x 1mm<sup>2</sup> pixel prototype tests

at room temperature  
191 eV resolution

G Lutz, L Strüder MPI Garching



ID15: magnetic Compton scattering spectra (fixed, monochromatic beam energy  $\sim 50 \dots 150\text{keV}$ )  
 Slit selection of Compton backscatter angle

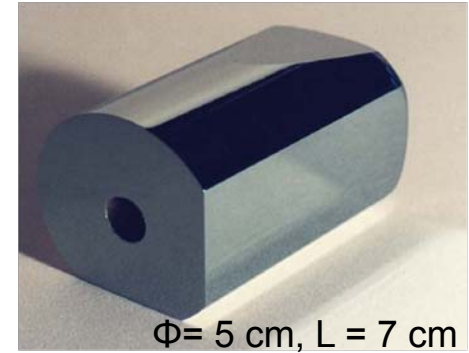


At present, only Germanium detectors are adequate for this application:

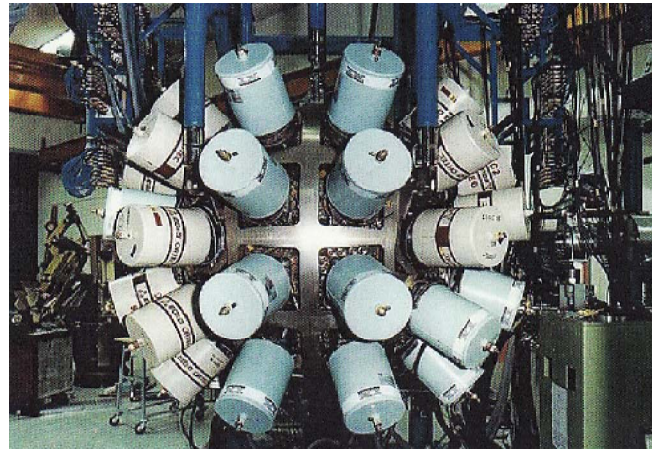
- high Z for adequate absorption (large detector volumes ( $> \text{cm}^3$ ) with negligible charge carrier trapping)
- high energy resolution ( $\Delta E/E \sim 0.5\%$  at  $100\text{keV}$ ) and *clean* Gaussian line shape

At high energies, Compton scattering is dominant interaction  
 → large volume Germanium detectors required  
 → spectroscopy may only be possible by reconstructing photon interactions using timestamped information from multiple detectors  
 ( partially absorbed events can be vetoed )

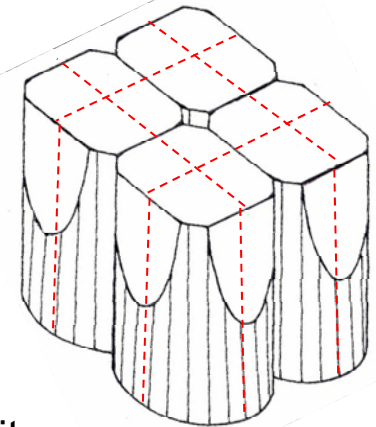
‘Clover’ detectors  
 shaped for close-packed geometry and near  $4\pi$  solid angles in nuclear physics experiments



eurogam2



P. Jones et al., Nucl. Instr. and Meth. **A 362** (1995) 556



Detectors can be electrically ‘segmented’ to give better tracking granularity

At low count rates ( $\ll 1/\text{peaking time}$ ) Silicon and Germanium approach theoretical performance limits (Fano statistics) over the large range of X-ray energies used at 3<sup>rd</sup> generation synchrotrons.

Higher  $\Delta E/E$  resolutions, or better X-ray absorption, can theoretically be obtained with compound semiconductor materials. For precise, quantitative spectroscopy, there are today no competitors to Silicon and Germanium due to a lack of large, pure-and-perfect crystals of binary or ternary compounds.

For low energies ( $<20\text{keV}$ ), Silicon is a near-ideal detector material offering advanced processing technologies including the fabrication of on-detector low-noise electronics. Higher energies require Germanium, but detectors made from this material are unlikely ever to reach the sophistication of silicon devices due to the lack of a large scale market (i.e. the electronics industry!) to spur the needed developments.

Pulse processor pile-up effects degrade spectral quality and counting efficiency at high count rates ( $\geq 1/\text{peaking time}$ ). Multi-element detectors may attain higher count rates but the gain is limited by geometric considerations and the practical challenges of making individual detector channels operate in a true, independent manner free of crosstalk.

*Radiation Detectors: general principles:*

G Knoll 'Radiation Detection and Measurement', Wiley , 2000

C Delaney, E Finch 'Radiation Detectors: Physical Principles and Applications', OUP 1992

*Semiconductor Detectors, physics and practical application issues:*

H Spieler, 'Semiconductor Detector Systems', OUP, 2005

G Lutz, 'Semiconductor Radiation Detectors: Device Physics', Springer Berlin 1999

above are in ESRF-ILL Joint Library (in fact, permanently on my office shelf)